

2019 INDY LIGHTS SERIES RULE BOOK

PREFACE

INDYCAR is the preeminent sanctioning body of open wheel racing in North America and functions with the purpose to promote the sport of automobile racing. As such, INDYCAR develops safety and competition regulations which are set forth in this book as it applies to the Indy Lights Series.

The Rules apply to the Indy Lights Series Events. All INDYCAR Members, as well as any other person participating or involved in any way in the INDYCAR Series and/or with Andersen Promotions shall be bound to these Rules. Each Member has been provided with or has been given access to a copy of the Rules, is deemed to have a full awareness and understanding of the Rules, and has agreed to abide by and be personally responsible for compliance with the Rules.

Further information may be obtained by contacting the Series offices c/o Andersen Promotions, 10101 US Highway 41 North, Palmetto, Florida 34221, (941) 845-2500.

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1. GENERAL

1.1. Governance

1.1.1. General

1.1.1.1. The Rules govern the Indy Lights Series and supersede all previous Rules, bulletins and supplementary regulations, unless otherwise indicated. Capitalized terms used in the Rules have the meanings set forth in Rule 17 of the Glossary.

1.1.1.2. If the Rules do not expressly permit a variation, then the Member shall presume that such variation is not permitted. If a Member is uncertain if an act and/or part violates a Rule, the burden is on the Member to receive pre-approval in writing from INDYCAR and/or Andersen Promotions. For this purpose, e-mails are not written approval unless otherwise expressly permitted and specified by INDYCAR or Andersen Promotions by bulletin. The Member assumes the risk of noncompliance. It is not necessary for a competitive advantage to be gained to constitute a violation of these Rules.

1.1.2. INDYCAR/Andersen Promotions Authority

- **1.1.2.1.** The Rules shall be applied, constructed, and interpreted by INDYCAR and Andersen Promotions and their application, construction, and interpretation shall be final and binding.
- **1.1.2.2.** INDYCAR/Andersen Promotions reserves the right to create and/or revise the Rules at any time, including, without limitation, prior to or during an Event. Notices, bulletins, supplementary Rules, regulations, and penalties are effective on the date and time issued, regardless of the date and time when a Member receives actual notice.
- **1.1.2.3.** INDYCAR/Andersen Promotions shall have the right to enforce the Rules, including the rights to impose and/or remove penalties for any violation of the Rules. INDYCAR / Andersen Promotions may penalize any Member and/or exclude any Member or equipment from any Event if they deem any act, any omission, any condition to be hazardous and/or not meeting the specifications, requirements, spirit, illustrations, and/or intent of, the Rules.
- **1.1.2.4.** INDYCAR/Andersen Promotions shall have the right to determine whether or not to make public a notice stating they have penalized any Member and/or rendered a judgment on a review and/or appeal. If published, the notice may include without limitation a description of the penalty or judgment and names of the affected Member(s).
- **1.1.2.5.** INDYCAR/Andersen Promotions shall also have the following rights: (i) to authorize and supervise Events of any kind; (ii) to render decisions concerning the Rules and Events; (iii) to grant, approve, refuse, enforce or withdraw Licenses, memberships, and sanctions; (iv) to assign and cancel dates for Events; (v) to appoint and rescind the appointment of Officials; (vi) to establish, interpret and enforce standards of eligibility for participation in Events; and (vii) to do any and all things which, in its judgment, are consistent with the enhancement, safety and/or integrity of the Events. INDYCAR/Andersen Promotions also reserves the right to take whatever action and/or impose whatever penalty they deems necessary to maintain the integrity and safety of INDYCAR, the IndyCar Series, the Indy Lights Series and/or its Events.

1.1.3. Officiating

- **1.1.3.1.** Officials will (i) secure necessary timing and scoring and technical equipment; (ii) and record all timing and scoring information and technical information, including the standings of an Event; (iii) control the designated timing and scoring and technical areas; (iv) provide competition information to the Competitors, the Event Promoter and the press; (v) and facilitate the award distributions. INDYCAR shall be the final authority on the content of all official postings.
- **1.1.3.2.** Officials may without limitation order the completion, postponement, or cancellation of any Event or any portion thereof for any reason. Officials may terminate a Race before its scheduled number of laps or allotted time and in such case, determine the Race results and disposition of the awards and may terminate a Race after one or

more Cars have finished. Officials may increase or reduce the scheduled number of laps or allotted time, and/or may declare an Event completed, postponed, terminated or abandoned.

1.1.3.3. As soon as practical after the conclusion of an Event, INDYCAR shall prepare a report giving the results of the Event and may identify reviews lodged and any penalty issued.

1.1.4. Acceptance of the Rules

- **1.1.4.1.** Every Member who is involved in and/or participates in any way in any Event shall be deemed to have a full awareness and understanding of and to have accepted, the Rules.
- **1.1.4.2.** Every Member agrees to follow the direction of Officials with respect to the enforcement and interpretation of these Rules or be subjected to penalties for failure to comply with such directions.
- **1.1.4.3.** If an individual/entity is involved in and/or participates in any Event without having properly submitted fully-executed membership/licensing application materials, the individual/entity, by such involvement and/or participation, nevertheless agrees that the individual/entity is subject to all Rules, limitations of liability, indemnification and all statements, releases and obligations appearing in the membership/licensing application materials as if the individual/entity had properly submitted a fully-executed membership/licensing application materials and to the same extent as if such individual/entity were a Member.
- **1.1.4.4.** The Rules shall be governed, interpreted and construed in accordance with the laws of the State of Indiana, without regard to its choice of law principles. All actions relating to the Rules shall be brought in state or federal court in Marion County, Indiana. Each Member consents to venue in and jurisdiction of the state or federal courts in Marion County, Indiana and waives any rights to contest such venue and jurisdiction.

1.2. Assumption of Risk, Liability Release, Acknowledgment and Indemnity

- **1.2.1.** ASSUMPTION OF RISK EVERY MEMBER AGREES TO BE BOUND BY THE RULES AND ASSUMES ALL OF THE RISK OF SUCH MEMBER'S INVOLVEMENT AND/OR PARTICIPATION IN AN EVENT.
- **1.2.2.** LIABILITY RELEASE RECOGNIZING THAT AUTOMOBILE RACING CAN BE A HAZARDOUS UNDERTAKING, MEMBERS, FOR THEMSELVES, THEIR HEIRS, EXECUTORS, REPRESENTATIVES, SUCCESSORS AND ASSIGNS, AGREE, BY THEIR MEMBERSHIP, THAT THEY RELEASE AND DISCHARGE INDYCAR GROUP FROM ANY AND ALL LIABILITY FOR DAMAGES TO PROPERTY, PERSONAL INJURY, AND/OR DEATH, IN ANY WAY RELATING TO ANY EVENT OR THE MEMBERS' INVOLVEMENT AND/OR PARTICIPATION IN THE EVENT, REGARDLESS OF HOW THE CLAIM MIGHT ARISE INCLUDING WITHOUT LIMITATION RACE OFFICIATING, RULE INTERPRETATION AND VIOLATIONS, PHYSICAL CONDITION OF THE TRACK, AND/OR EMERGENCY TREATMENT OR RESCUE.

1.2.3. ACKNOWLEDGMENT.

- **1.2.3.1.** MEMBERS RECOGNIZE THIS RELEASE APPLIES EVEN IF INDYCAR GROUP OR ANY OF THEM ACTED NEGLIGENTLY AND UNDERSTAND THAT MEMBERS MAY BE ASKED TO ACKNOWLEDGE THIS ASSUMPTION OF RISK AND RELEASE BY OTHER AGREEMENTS THEY MIGHT SIGN AS A PREREQUISITE TO PARTICIPATE IN AN EVENT OR EVENTS.
- **1.2.3.2.** EACH MEMBER ACKNOWLEDGES THAT THE MEMBER HAS MADE THE MEMBER'S SPOUSE AND/OR DEPENDENTS AWARE OF THE MEMBER'S DECISION TO ASSUME AND INCUR THE RISK ASSOCIATED WITH RACING WITHOUT THE RIGHT TO PURSUE CLAIMS OF WRONGDOING.
- 1.2.4. INDEMNITY EACH MEMBER ACKNOWLEDGES THAT MEMBER IS RESPONSIBLE FOR VIOLATION OF MEMBER'S AGREEMENTS BY MEMBER, MEMBER'S REPRESENTATIVES INCLUDING LOVED ONES AFFECTED BY MEMBER'S INVOLVEMENT AND/OR PARTICIPATION, AND MEMBER'S PARTICIPANTS AND GUESTS. THIS RESPONSIBILITY APPLIES TO ALL VIOLATIONS OF MEMBER'S AGREEMENTS WITH INDYCAR. THIS INCLUDES THE MISUSE OF CREDENTIALS AND THE FAILURE TO ACCEPT THE ASSUMPTION OF RISK, THE WAIVER AND RELEASE OF LIABILITY, THE BINDING NATURE OF THE RULES, INDYCAR'S INTERPRETATION OF THE RULES, AND THE

FINALITY OF THE APPEAL PROCEDURE. MEMBER UNDERSTANDS THAT THIS RESPONSIBILITY INCLUDES THE DUTY TO INDEMNIFY AND HOLD INDYCAR GROUP HARMLESS FROM THE CONSEQUENCES AND DAMAGES ARISING FROM THE VIOLATION.

1.2.5. INDYCAR may periodically request that a Member acknowledge the release, assumption of risk and indemnification provisions in the membership application materials, but the failure of INDYCAR to request and/or a Member to sign an acknowledgement does not negate the release, assumption of risk and indemnification provisions in the membership application materials or in these Rules.

1.3. Conduct Identification

1.3.1. A Member should never make a claim against INDYCAR or a person or entity connected with INDYCAR that an injury, including a fatal injury, has been caused by wrongful conduct that is intentional or willful and wanton unless the claim can be supported by established facts. Even if factually supportable, such a claim cannot continue unless the notice required in the membership application is timely completed.

1.4. Litigation

- **1.4.1.** Each Member agrees to reimburse INDYCAR Group and other named Members (INDYCAR Member Group) for all costs of litigation including without limitation travel expenses and attorneys' fees, costs and expenses if either of the following conditions occurs:
 - **1.4.1.1.** The Member initiates or otherwise causes a member of the INDYCAR Member Group to be named as a party in a legal proceeding not permitted or contemplated by the Rules;
 - **1.4.1.2.** The Member requests or compels a member(s) of the INDYCAR Member Group's participation in a manner other than as a party to the lawsuit.

1.5. Security

- **1.5.1.** Only authorized individuals are permitted on the Track and in other restricted locations designated by INDYCAR or an Event Promoter including without limitation spotter stands, marshal's posts, safety truck locations, photographer locations and broadcast platforms.
- **1.5.2.** INDYCAR and the Event Promoter have the right to engage in such searches of individuals and property at any Race Location, as they deem necessary or appropriate for the safety and security of the Event. If they deem necessary, INDYCAR and the Event Promoter have the right but are not required to remove any individual and/or property from the Race Location.
- **1.5.3.** INDYCAR may, but is not required to penalize any Entrant, Associate, or other Member whose representative or equipment enters a restricted area at any Race Location prior to the scheduled opening time or remains in a restricted area at a Race Location after the scheduled closing time without prior approval from INDYCAR and the Event Promoter.
- **1.5.4.** Only Members possessing a valid Driver's license may operate a motorized vehicle in a restricted area during an Event. Only INDYCAR-approved vehicles are permitted in the pit area. INDYCAR and/or an Event Promoter may have additional or different requirements for a particular Event and INDYCAR may modify the foregoing Rule accordingly.
- **1.5.5.** Members must not operate or permit another individual to operate a motorized or non-motorized vehicle at the Race Location negligently, recklessly or without due consideration to Members, spectators or others.
- **1.5.6.** Smoking is not permitted on the Track, in the pit area, or in any fuel service area. INDYCAR and/or an Event Promoter may have additional or different requirements for a particular Event and INDYCAR may modify the foregoing Rule to the extent permitted or required by law.
- 1.5.7. The possession of firearms by non-law enforcement personnel during an Event is prohibited.

1.5.8. The use of drones or any other unmanned aircraft systems ("UAS") at an Event is prohibited unless approved in advance in writing by INDYCAR's President of Competition and Operations. As a condition of such approval, INDYCAR may require a Member to agree in writing with a policy statement that may include, without limitation: i) compliance with all applicable local, state and federal, rules and regulations; ii) adherence with INDYCAR-designated standards of use of the drone or UAS; iii) provision of insurance as required by INDYCAR and/or the Event promoter; and iv) completion of all applicable location releases and other agreements regarding the use of any photograph, video, footage, data, and/or other information gathered by the drone or UAS.

1.6. Data Sharing Policy

- **1.6.1.** INDYCAR / Andersen Promotions owns any and all rights to exploit the Series, without limiting the foregoing, the Events, or portions thereof, including without limitation, all photographic, video, audio, films, still and/or motion picture images, sounds and data or other reproductions thereof (e.g., in-car audio, in-car video, in-car radio, other electronic transmissions between cars and crews and timing and scoring information.
- **1.6.2.** INDYCAR / Andersen Promotions may disclose the data to third parties for any purpose including, without limitation, safety and/or medical research.
- **1.6.3.** If an individual desires to receive any such data from INDYCAR, the individual shall submit a request in writing to the President of Competition and Operations. The written request shall specify the date requested and the proposed use of the data.
 - **1.6.3.1.** INDYCAR / Andersen Promotions shall determine whether to provide the data, taking into account such factors as the nature of the data requested, the uses for which the individual requests the data and whether or not consent and/or approval of any other individual is required or appropriate in order to release the data.
 - **1.6.3.2.** The individual requesting the data will be required to execute an agreement regarding approved uses for the data and the confidential treatment of any data that INDYCAR approves for use by the individual.
- **1.6.4.** Notwithstanding the foregoing, an Entrant may record its own pit stop activities from its own pit area during Events for the purpose of internal evaluation. All other activities and/or uses require prior INDYCAR written approval.

2. MEMBERSHIP

2.1. General

2.1.1. INDYCAR is a voluntary membership organization. Each Member shall be deemed to be an independent contractor of INDYCAR and the relationship between INDYCAR and a Member shall not be deemed to be a partnership, joint venture, an employment or a franchise relationship by virtue of the membership. Members are responsible for the conduct of Member Group.

2.2. Application

- **2.2.1.** Each applicant is required to submit the following properly executed materials for INDYCAR approval in the form required by INDYCAR:
 - 2.2.1.1. Membership application,
 - 2.2.1.2. Membership application fee,
 - **2.2.1.3.** Substance Abuse Policy Authorization for Testing and Release (if the applicant is a designated Competitor or Official),
 - 2.2.1.4. Social Media Policy Acknowledgement (if the applicant is a Competitor or Official),
 - 2.2.1.5. Participant Authorization for Use and Disclosure of Protected Health Information, and
 - 2.2.1.6. Any other materials required by INDYCAR.
- **2.2.2.** Membership criteria shall be determined by INDYCAR and may be periodically revised. Each applicant must be in good standing.
- **2.2.3.** Applicants and Members agree INDYCAR may refuse a membership application or revoke a membership for any reason including, without limitation, those related to personal conduct, decency and whether or not any conduct of the applicant or Member creates adverse notoriety with respect to INDYCAR, the IndyCar Series, or an Event.
- **2.2.4.** Each applicant agrees to fulfill all contractual obligations such applicant and/or applicant's affiliates may have to INDYCAR, Event Promoters, Manufacturers and their affiliates. Each applicant agrees that INDYCAR, Event Promoters, Manufacturers and their affiliates shall have the remedy of specific performance with respect to any and all such obligations in addition to all other rights and remedies available at law or in equity and notwithstanding any terms to the contrary in any other agreement.
- **2.2.5.** Each applicant must pay any and all outstanding financial obligations owed by applicant or its affiliates to INDYCAR, Manufacturers and their affiliates, or make other arrangements acceptable to INDYCAR, Manufacturers and their affiliates.
- **2.2.6.** INDYCAR shall determine whether to accept or reject any membership application materials, although the rejection of membership application materials may be reviewed and/or appealed in accordance with the procedures set forth in Rule 10 and Rule 11.
- **2.2.7.** Regardless of where the application material is executed by the applicant and/or accepted by INDYCAR and/or the credential issued, applicant recognizes and agrees that the Member's application materials and associated agreement is an Indiana contract and forms a contractual relationship established in the State of Indiana and governed by the laws of Indiana. THIS CONTRACT AND RELATIONSHIP IS A LEGAL ONE AND APPLICANT SHOULD CONSULT HIS/HER ATTORNEY IF HE/SHE HAS ANY LEGAL QUESTIONS.

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2.3. Categories

- 2.3.1. The following membership categories exist:
 - a)Entrant
 - b) Driver
 - c) Associate
 - d) Official
 - e) Media
 - f) VIP

2.4. Age

- **2.4.1.** Unless otherwise specified, all applicants who are individuals must be at least eighteen (18) years of age. For example, INDYCAR may require a Competitor to be an age greater than eighteen (18) years of age or require additional and/or different requirements for a particular category and/or Event.
- **2.4.2.** No one under age 18 shall be permitted in Pit Lane during any Events unless permitted by applicable insurance requirements and previously approved in writing by INDYCAR and/or the Event Promoter.
- **2.4.3.** At INDYCAR's discretion, an individual, age 16, may apply for a license two-hundred ten (210) days prior to their 17th birthday, may participate in an Entrant Test one-hundred eighty (180) days prior to their 17th birthday and may participate in an Open Test or Race Event ninety (90) days prior to their 17th birthday. All agreements and documentation as to additional obligations as required by INDYCAR must be completed to INDYCAR's satisfaction before the application will be considered. If accepted and for the duration of time that INDYCAR allows, the individual will have all rights of a licensed driver.

2.5. Physical and Psychological Fitness

- **2.5.1.** INDYCAR may require any applicant or Member to complete a medical history form and/or complete and pass a medical examination which may include drug testing consistent with the provisions of the Substance Abuse Policy as directed by INDYCAR to determine physical and psychological fitness to receive a membership or License and/or to participate or continue in an Event. At any time, INDYCAR may require a Member to be examined and/or tested by a designated medical professional at the Member's cost.
- **2.5.2.** Members must not use or be under the influence of illegal or prohibited drugs at any time, alcohol during an Event, and certain prescriptions and over-the-counter medications during an Event. Designated Competitors and Officials must complete and return to INDYCAR the current Substance Abuse Policy Authorization for Testing and Release and must comply with the provisions of the Substance Abuse Policy at all times. Whether or not an applicant or Member has formally executed the INDYCAR Substance Abuse Policy Authorization for Testing and Release, each applicant and Member agrees to submit to drug testing in accordance with the Substance Abuse Policy, which may include without limitation the collection of urine, blood, saliva, hair, breath and/or any other scientifically-acceptable method of analysis for prohibited substances and/or alcohol if requested by INDYCAR.
- **2.5.3.** Any applicant or Member who is required to complete a medical history form and/or complete and pass a medical examination/test shall also immediately notify in writing the INDYCAR Medical Director if the Member becomes aware of a change in the Member's medical condition, including if the Member is diagnosed with any medical condition (including pregnancy) by any medical professional.
- **2.5.4.** Any Member who is required to execute the Substance Abuse Policy Authorization for Testing and release shall also submit proof of any prescription the Member is required to take to the INDYCAR Medical Review Officer (and receive the INDYCAR Medical Review Officer's approval of such use prior to taking the substance in connection with any Event) (whether or not prescription drugs or medication and regardless of how taken).

2.5.5. INDYCAR may disqualify, suspend or otherwise penalize any applicant or Member for failure to promptly cooperate with any request for examination/testing and/or notification by INDYCAR and for any pending violation of the Substance Abuse Policy.

2.6. Medical Examinations and Treatment

- **2.6.1.** Examinations and/or treatment of Members, including emergency rescue, performed by medical professionals acting on behalf of INDYCAR are based upon a relationship established in the State of Indiana.
- **2.6.2.** Members acknowledge that the medical professionals are acting pursuant to their contractual obligations with INDYCAR and are not acting or rendering personal medical services to the Members. Members acknowledge that they shall have their own personal physicians.

2.7. Determination of Fitness

2.7.1. Members acknowledge that while the INDYCAR medical professionals render an opinion as to fitness, the final determination of a Member's ability to participate is made by INDYCAR in good faith.

2.8. Waiver of Claims

2.8.1. Each applicant and Member waives any and all claims regarding any medical treatment and/or medical examinations/testing and/or examination/testing results, and/or the use or disclosure of such medical treatment and/or medical examinations/testing and/or examination/testing results, by INDYCAR, its agents and/or its medical professionals including without limitation claims of invasion of privacy and/or breach of confidentiality, notwithstanding whether such claims arise from strict liability, sole or contributory negligence of INDYCAR, its agents and/or its medical professionals, breach of contract, or any other legal or equitable theory

2.9. Penalties

- **2.9.1.** INDYCAR may disqualify, suspend or otherwise penalize any applicant or Member if the results of any examination/test are determined by INDYCAR, its agents and/or its medical professionals to be a violation of the INDYCAR Substance Abuse Policy or to enhance, impair, or otherwise affect the Member's ability to be involved in and/or participate in any Event regardless of whether the applicant or Member's B sample, if applicable, has confirmed the A sample finding, in the case of a drug test. INDYCAR in its discretion may suspend or otherwise penalize any applicant or Member while such applicant or Member's matter, whether it is a positive drug test or other violation of the Substance Abuse Policy or other applicable Rule, is pending or while INDYCAR investigates the applicant or Member's potential Rule violation.
- **2.9.2.** Any Member who shows visible signs of exhaustion, physical or psychological impairment, or other physical or psychological irregularities may be disqualified and/or excluded from an Event and subjected to additional examinations/tests and/or penalties.

2.10. Violation of Laws

2.10.1. Being charged with a violation of the law, whether alcohol/substance abuse or any other laws, may be grounds for suspension or other penalty. Any Member charged with any violation of the law relating to alcoholic beverages or illegal substances, or charged with any felony, must notify the President of Competition and Operations prior to the next scheduled Event or within 72 hours of being so charged, whichever is earlier, and cooperate with INDYCAR, including without limitation, providing additional information in order for INDYCAR to determine if a suspension or other penalty is appropriate.

2.11. Medical Records

2.11.1. Each applicant and Member agrees and consents that, where requested by INDYCAR for purposes of carrying out its due diligence and obligations under the Rules and the Substance Abuse Policy, he or she will not unreasonably deny INDYCAR access to and copies of any and all medical records of the Member where access to such information may be necessary in order for INDYCAR to make a determination with respect to the applicant or Member's eligibility for participation in an Event. Applicants and Members acknowledge that denial of access by INDYCAR to such information may delay or prohibit INDYCAR from permitting the applicant or Member to compete and/or participate in Events.

2.12. Event Activities

- **2.12.1.** Activities INDYCAR/Andersen Promotions may schedule mandatory meetings and/or activities for Members. Each Member must attend and actively participate in all official meetings and other activities designated by INDYCAR/Andersen Promotions. These may include, but are not limited to the following:
 - **2.12.1.1.** Competition Meetings INDYCAR/Andersen Promotions may conduct one or more meetings of Drivers, team managers, spotters and chief mechanics to discuss general application, construction and interpretation of the Rules and any specific Rules applying to a particular Event. INDYCAR/Andersen Promotions has the right to determine attendees.

2.13. Memberships, Licenses and Credentials

- **2.13.1.** Prior to gaining access to restricted areas, each individual must have the necessary and appropriate credential. The holder of a membership, License or other credential shall produce it for inspection upon demand of INDYCAR or security personnel at any Event along with any other document needed to confirm identification.
- **2.13.2.** The annual credential consists of both the lanyard and the hard card. Use of one without the other invalidates the annual credential. In addition, a Member must not use a prior Event's, prior racing season's or other series' credential.
- **2.13.3.** A membership, License or any other credential (including race mode) may not be disassembled, transferred, assigned, sold, made subject to a lien, altered, or duplicated. It must be used only by the Member to whom it is issued. If a Member intentionally or unintentionally disassembles, transfers, assigns, sells, makes subject to a lien, alters, duplicates or otherwise permits another individual or entity to use or attempt to use the membership, License or credential, then the Member may be penalized and shall indemnify and hold INDYCAR Group harmless from any loss or expense incurred by INDYCAR Group arising in any way from the Member's action, even if the Member or a third party asserts that INDYCAR Group's loss or expense is due to INDYCAR Group's negligence.
- **2.13.4.** The Rules regarding memberships, Licenses and credentials are equally applicable to parking passes, Event credentials, golf cart passes and all other privileges issued to a Member by INDYCAR or an Event Promoter unless such credentials, passes or privileges are expressly transferable, assignable, or eligible for sale.
- **2.13.5.** INDYCAR may require an applicant and/or Member to provide a valid official government-issued identification including photograph and date of birth in order to receive or retain a credential. Acceptable identification includes without limitation a state driver's licenses, passports and military cards but does not include identification issued by educational institutions or any nongovernmental entity.

3. SANCTIONS

3.1. Promotion of Races

3.1.1. INDYCAR will sanction Races with an Event Promoter through an Event Agreement. The Event Agreement contains additional terms regarding the Race, such as those relating to postponement dates.

3.2. Event Promoter Responsibilities

- **3.2.1.** Event Promoters shall be responsible for designated operations including without limitation responsibility for obtaining and providing, at the Event Promoter's expense, insurance as required by the Event Agreement, safety precautions, services and vehicles for Competitors and spectators including medical/rescue personnel, equipment, transportation and facilities (such as ambulances, air medical helicopters and examination facilities and equipment); physical condition of the Track; spectator control; and fire protection, all to meet or exceed the minimum requirements provided by INDYCAR, Andersen Promotions and where applicable, the ASN.
- **3.2.2.** INDYCAR or Andersen Promotions shall not be responsible or in any manner liable for any of the Event Promoter's responsibilities under the Event Agreement or the Rules even if the Event Promoter fails or refuses to perform such functions, duties and responsibilities, in whole or in part, or if INDYCAR voluntarily assumes performance of any or all of the Event Promoter's specific functions, duties and responsibilities.
- **3.2.3.** Members acknowledge that INDYCAR and Andersen Promotions has the right to place additional regulations into effect if, in the discretion of INDYCAR and/or an Event Promoter, such additional regulations become desirable.

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ENTRANTS/DRIVERS

4.1. General - Entrant Licensing/Driver Licensing

4.

- **4.1.1.** Licensing criteria is available from the INDYCAR office and may vary depending on the particular on-Track activity. INDYCAR and ACCUS-FIA (and another ASN, if applicable) shall determine whether to approve a License application and issue a License. Applicant shall pay any and all costs associated with satisfying the License criteria. Driver Licenses are not transferable at any time; Entrant Licenses are not transferable without prior written approval.
- **4.1.2.** Licenses are revocable by INDYCAR, Andersen Promotions and ACCUS-FIA at any time and for any reason.
- **4.1.3.** Eligibility for a License shall be determined based on such factors as are indicative of the applicant's ability to participate satisfactorily in an Event.
- **4.1.4.** Fees may be charged for Licenses. Fees are non-refundable whether or not the License is granted. No fee or any part thereof shall be refunded to a licensee because the licensee ceases to participate in any Event(s) regardless of the reason for such cessation.
- **4.1.5.** A License is valid only for the current Racing Season and/or as otherwise restricted. Licenses may be limited to a particular type of Event(s) or for a specified period. Licenses for Rookie Drivers are granted on a temporary basis. Unless otherwise noted, all Licenses shall expire on December 31st of the calendar year during which they were granted.
- **4.1.6.** An applicant may request the review and/or appeal of a denial of a Driver or Entrant License and membership application, grade of Driver's License in accordance with the procedures set forth in <u>Rules 10</u> and <u>11</u>.
- **4.1.7.** Officials may continuously re-evaluate the eligibility and performance of a Driver and/or Entrant to determine if the Driver and/or Entrant shall remain licensed. As part of such evaluation, Andersen Promotions/INDYCAR may consider input from any Official, any Driver and others as they determine appropriate and may require a Driver and/or Entrant to participate in Testing under the observation of Officials, Drivers, and/or others.

4.2. Entrant License

- **4.2.1.** The individual signing the application materials represents that the individual is authorized to act on behalf of the named Entrant in all matters. Additional corporate representatives may be listed and the authority of any individual may be limited.
 - **4.2.1.1.** If a corporate representative's status changes, INDYCAR/Andersen Promotions may require an individual authorized to act on behalf of the Entrant submit new application materials for that Entrant for approval. INDYCAR or Andersen Promotions may require an Entrant to submit another Entrant License fee and resubmit any other materials including, without limitation, Entry forms, or any other requirements as determined by INDYCAR.
 - **4.2.1.2.** Upon request, INDYCAR/Andersen Promotions must be provided satisfactory information regarding ownership of an Entrant.

4.2.2. Eligibility

- **4.2.2.1.** Unless otherwise approved by INDYCAR, Entrant must possess an Entrant's License and Membership and an ACCUS-FIA Entrant's License (including international competition privileges). Entrant must remain in good standing at all times.
- **4.2.3.** Entry Upon issuance of the Entrant License, the Entrant may submit Entry forms identified by the Car number assigned to that Entrant License. The Entry (Car with Driver) must display the Car number at all times during the Event and all points and awards earned by this Entry will be credited to that Car number unless otherwise determined by INDYCAR. An Entrant intending to compete with multiple Entries at a single Event must obtain an Entrant License with a unique Car number for each Entry or satisfy such other requirements as INDYCAR/Andersen Promotions may prescribe.

4.2.4. Manufacturers – The Manufacturers may require an Entrant to enter into lease and/or purchase agreements.

4.3. Driver

- 4.3.1. Each Entry form must list a Driver.
- 4.3.2. Driver License
 - **4.3.2.1.** License application materials may be approved and Licenses issued to individuals determined eligible by INDYCAR to compete in an Event and who otherwise meet the application requirements.
 - **4.3.2.2.** INDYCAR and/or ACCUS-FIA (and another ASN, if applicable) shall determine the grade of the License issued to a Driver.
 - **4.3.2.3.** In order for a Driver to compete in any Event, the Driver must hold a Driver License and at any time may be required to:
 - (a) Participate in any INDYCAR or Andersen Promotions required testing;
 - (b) Provide an updated resume, government-issued identification, and/or certified birth certificate;
 - (c) Furnish a current clearance acceptable to INDYCAR attesting to the Driver's physical/psychological fitness;
 - (d) Provide proof of United States residency and an international letter of authorization from the Driver's home country ASN, in the case of Drivers who are not citizens of the United States.

4.3.2.4. Eligibility

- **4.3.2.4.1.** Unless otherwise approved by INDYCAR, Driver must possess an INDYCAR Driver's License and Membership and an ACCUS-FIA Driver's License (including international competition privileges) or another ASN Driver's License, if applicable. Driver must remain in good standing at all times.
- **4.3.2.4.2.** An applicant must have sufficient competitive driving ability and experience as determined by INDYCAR.
- **4.3.2.4.3.** INDYCAR's determination as to ability will take into account all such factors as INDYCAR deems relevant. An applicant must contact INDYCAR for the current Race-specific criteria.
- 4.3.2.4.4. INDYCAR may require the applicant to provide:
 - **4.3.2.4.4.1.** A current resume containing a complete history of the applicant's competition to date.
 - 4.3.2.4.4.2. A letter of recommendation from the series in which the Driver last competed; and/or
 - 4.3.2.4.4.3. Such other information as INDYCAR may request.
- **4.3.2.5.** Physical/Psychological Information The applicant must provide the information required by INDYCAR and must successfully complete any INDYCAR-prescribed physical and psychological examinations, which may include, without limitation, eye, neurological and substance abuse testing.
- **4.3.2.6.** Refresher Tests An applicant, including, without limitation, a Driver who has not participated as a Driver in any on-Track Event during the past six (6) months, may be required to successfully complete a refresher test.

5. ENTRIES

5.1. General

5.1.1. All Entry applications shall be completed on forms provided by Andersen Promotions in the manner requested and shall be subject to the approval of Andersen Promotions. Entries are not transferable without the prior written approval of Andersen Promotions.

5.2. Entrant

- **5.2.1.** An approved Entry serves as the authorization to compete in a specific Event or Events(s) on behalf of the specific Entrant to whom the Car number is assigned and acknowledgement of an agreement to the Rules.
- **5.2.2.** If an individual/entity participates in any Event without having properly submitted fully executed Entry form materials, the individual/entity, by such participation, nevertheless agrees that the individual/entity is subject to all Rules and all statements, releases and obligations appearing in the Entry form materials for the Event, as if the individual/entity had properly submitted fully executed Entry form materials.
- **5.2.3.** In recognition of the support of racing fans and efforts and monies expended by sponsors, INDYCAR, Andersen Promotions and Event Promoter in connection with the Events, Entrant, on behalf of Entrant Group, agrees to participate in any and all portions of on-Track Events in which Entrant has submitted and INDYCAR/Andersen Promotions has approved, an Entry. Entrant agrees not to engage alone or with others in tactics which would disrupt or delay the on-Track Events and to cooperate fully with ceremonies preceding, during and following the on-Track Event and to refrain from any conduct which would disrupt or detract from such ceremonies.
- **5.2.4.** Entrant understands and agrees that INDYCAR/Andersen Promotions has the right at any time to withdraw the privileges of participation or otherwise reject, exclude or disqualify any member of Entrant Group, including but not limited to any Car, Driver or other Entrant Participant, if INDYCAR in its discretion determines that rejection, exclusion or disqualification is in the best interest or integrity of INDYCAR, Andersen Promotions or the promotion of Events. Entrant, Driver and any third party they represent, including without limitation other Entrant Participants, shall have no claim for damages or injunctive relief against INDYCAR, Andersen Promotions any Event Promoter and/or their representatives by reason of such rejection, exclusion or disqualification.
- **5.2.5.** Advertising may not be displayed until it has been approved by Officials, this includes all apparel, Drivers fire suits, equipment, Cars and all other areas where sponsorship is displayed. Officials will not allow the advertising of any sponsors who conflict with Series sponsors. Violations will result in penalties.

5.3. Car

5.3.1. Participation of a Car in an Event - In order to participate in an Event, the Car must be properly entered and be designated and explicitly identified by Car number on only one Entry form for that Event. Notwithstanding any prior INDYCAR/Andersen Promotions approval, any Car participating in an Event while in noncompliance with the above requirements may be disqualified and any Competitor who causes such noncompliance may be disqualified and/or otherwise penalized by INDYCAR or Andersen Promotions.

5.3.2. Car Registration

- **5.3.2.1.** Car Names A Car must have a name that shall be identified on the Entry form. The Entrant represents to INDYCAR/Andersen Promotions that it has the right to use that Car name. The Car name is subject to the approval of INDYCAR/Andersen Promotions.
- **5.3.2.2.** A Car may compete only in the Events in which the Car is entered. The Entry form must establish the Entrant's right to enter the Car in the Event and include proof of ownership of the Car acceptable to INDYCAR/ Andersen Promotions. INDYCAR will use a Car number and Dallara assigned chassis registration number to identify each Car.

- **5.3.2.3.** Car Number Andersen Promotions will assign to each Entrant License (not Driver License) a unique Car number. The Car number may consist of a maximum of two digits. No Car number may begin with the 0 digit. Car number 1 will be reserved for the defending Entrant (not Driver) series champion.
 - **5.3.2.3.1.** All Car numbers are owned by INDYCAR/Andersen Promotions. An Entrant may be granted the use of a Car number.
 - **5.3.2.3.2.** All Entrants desiring a particular Car number must make a written request to Andersen Promotions although a request is not guaranteed to be granted.
 - **5.3.2.3.3.** After a Car number is assigned to a particular Entrant License, it will remain with that Entrant License until that Entrant is no longer a Full Season Entrant, provided that Entrant enters a Car and displays the Car number on the Car at each Event. If the Entrant does not enter a Car and/or display the Car number on the Car at an Event, Andersen Promotions may reassign the Car number. Cars numbers must be clearly visible as determined by the Officials.
 - **5.3.2.3.4.** Car Appearance INDYCAR/Andersen Promotions has the right to control the appearance of Cars to distinguish one Car from another. This may include, without limitation Car color. All mandatory decals and other relevant information may be found in the Series provided style guide

5.4. Spotters

- 5.4.1. Oval Events One (1) spotter is required at all times. Two (2) spotters are required for the Freedom 100 Event.
- **5.4.2.** A spotter must:
 - **5.4.2.1.** be in the specified location(s),
 - 5.4.2.2. have a radio capable of communicating with the Driver and a Member in the Entrant's Pit Box,
 - 5.4.2.3. monitor the Team Broadcast Frequency,
 - 5.4.2.4. have the required memberships
 - **5.4.2.5.** be registered with INDYCAR

5.5. Fees

5.5.1. Entry fees must be paid as directed by Andersen Promotions.

5.6. Deadline

5.6.1. The Entry deadline shall be stated on the Entry form. Andersen Promotions may accept or refuse late Entries.

5.7. Re-opening of Entries

5.7.1. If INDYCAR postpones an Event, INDYCAR/Andersen Promotions may authorize the reopening of Entries. Reopened Entries shall close not less than forty-eight (48) hours before the declared starting time of the postponed Event.

5.8. Failure to Participate

5.8.1. Any Driver, Entrant, or other Member who by Entry form or other means has agreed to participate in an Event may be penalized for failing to participate in any portion of such Event.

6. TESTING

6.1. General

- **6.1.1.** Members are only permitted to conduct Testing in accordance with these Rules.
- **6.1.2.** Violations of these Rules shall result in a penalty including without limitation the loss of Entrant and Driver points and a monetary fine not exceeding \$25,000 unless otherwise written.

6.2. Entrant Test

6.2.1. Entrant Tests are organized by an Entrant. Entrants must submit a Testing Request Form to the Series a minimum of 16 days before the Test. Once the Testing form is approved, the Series place it on a public calendar. Incomplete forms will be reason for denial and the Test will not be approved.

6.3. Open Test

- **6.3.1.** These are the Tests organized by Andersen Promotions to which all Entrants are invited to participate. Andersen Promotions reserves the right to modify the number, dates, and location of these Tests as it deems appropriate.
- **6.3.2.** Any combination of Drivers may participate. The Driver is not required to be the Entrant's current Driver although the Driver must be a licensed, pre-approved Indy Lights Driver.

6.4. Manufacturer Test

6.4.1. Manufacturer Tests are organized by Andersen Promotions, specifically for Manufacturer testing to which selected Entrants may be invited to participate.

6.5. Off-Season Testing / Unrestricted Testing

6.5.1. Offseason Testing is unrestricted following the last Event through February 14, 2019 with the exception of the Blackout periods in <u>Rule 6.15.2</u>.

6.6. In-Season Testing / Restricted Testing

- **6.6.1.** In season Testing is restricted to two (2) Entrant Test days per Team beginning February 15 through the final Race Event of the season, with the exception of the Blackout periods in Rule <u>6.15.2</u>.
- **6.6.2.** Series Open Test Days are as follows:

February 12 & 13 - Circuit of the Americas

March 4 - Homestead Road Course

May 9 - Indianapolis Motor Speedway - Road Course

May 20 - Indianapolis Motor Speedway - Oval

August 15 - Gateway

6.7. Additional Testing Restrictions

6.7.1. Test Days may not be assigned, sold or otherwise transferred to another Team.

- **6.7.2.** Except as otherwise provided by Andersen Promotions, any Member Testing at a facility must permit other Members to Test on the same date (up to the maximum number permitted by the facility).
- **6.7.3.** Testing requests for any other purpose (for example, for filming commercials) must be submitted to Andersen Promotions for approval. If a Test is approved, Andersen Promotions may establish conditions which may require the presence of an Official.
- **6.7.4.** Members shall not Test in simultaneous on-track activity with any non-Indy Lights Series vehicles (including without limitation IndyCar Series, Indy Pro 2000 and USF2000 cars).

6.8. Rig Testing

6.8.1. Rig Testing is permitted.

6.9. Wind Tunnel Testing

6.9.1. Wind tunnel Testing (Scale or Full Size) is strictly prohibited. Any Team, Member or Entrant found to be engaging in wind tunnel testing will be penalized to the fullest extent including but not limited to loss of all Championship points and a minimum fine of \$25,000.

6.10. Simulators

6.10.1. Simulator Testing is permitted

6.11. New Entrants

6.11.1. New Entrants are Teams that did not participate in previous Indy Lights seasons with the IL15 chassis. A New Entrant must hold a current Indy Lights Series Entrant License and be in good standing with Andersen Promotions and INDYCAR. New Entrants are allocated two (2) additional in-season test days.

6.12. Indy Pro 2000/USF2000 Drivers

- **6.12.1.** Indy Lights Teams are permitted two (2) additional days testing for use with current Indy Pro 2000 or USF2000 Championship Drivers. Drivers must meet Indy Lights licensing criteria, be pre-approved and do not have to be associated with any Indy Lights Team.
- **6.12.2.** All such Tests must be pre-approved by Andersen Promotions and cannot be conducted at a track yet to hold an Indy Lights Event during that calendar year.
- **6.12.3.** Tire allotments permitted under Entrant Test Rules in Section 15.

6.13. Rookie Oval Tests

6.13.1. Drivers who have not been observed on an Oval, or have not competed in an Oval event must attend the Rookie Test day, as mandated by the Series, prior to the first Oval Race Event. In lieu of attending the Rookie Test, Teams may request observance of a driver on an Oval at any time. The driver will be observed by either the Race Director or his designee and the Team is responsible for all expenses.

6.13.2. Tires – for allotments please refer to Rule 15.1.

6.14. Approved Venues

6.14.1. Tests are permitted at all permanent Indy Lights Race venues except the Indianapolis Motor Speedway Oval, Portland or Laguna Seca. Tests may also occur at any other approved venue listed below.

6.14.2. Road Courses

Autobahn (north or south circuits)
Barber Motorsports Park
Buttonwillow Raceway Park (East Course)
Homestead Miami Speedway
Indianapolis Motor Speedway Road Course
NCM Motorsports Park
NOLA
Palm Beach International Raceway
Putnam Park
Sebring International Raceway
Sonoma Raceway
Watkins Glen

6.14.3. Ovals

Chicagoland Speedway Homestead Miami Speedway Iowa Speedway ISM Raceway Kentucky Speedway

6.15. Blackout Periods

- **6.15.1.** No on-track Testing shall occur during any scheduled Indy Lights Open Tests or any other Indy Lights scheduled Events.
- **6.15.2.** Additional blackout periods include the following:

September 3, through 23, 2018 December 15, 2018 through January 2, 2019 July 3 through 5, 2019

TRACK PROCEDURES

7.1. On-Track Conditions

7.

- **7.1.1.** Officials determine the Track Condition. Track Conditions include the following:
- **7.1.2.** Green Condition The Green Condition signifies racing conditions.
- **7.1.3.** Yellow Condition The Yellow Condition signifies caution.
 - **7.1.3.1.** Oval Events All Drivers shall reduce speed immediately, proceed with caution, maintain position, and yield to safety vehicles and/or personnel
 - **7.1.3.1.1.** Practice/Qualifications Lap timing ceases at the declaration of a Yellow Condition. Cars are required to return to their respective pit locations
 - **7.1.3.2.** Practice/Qualifications, Road/Street Courses (Local) Drivers shall reduce speed by a minimum of 15% in that sector and be prepared to stop. Overtaking is not permitted between the first Yellow Condition and the subsequent Green Condition. Drivers who do not slow down, may be subject to penalty. For further clarity, the Driver will have to abandon that lap.
 - **7.1.3.3.** Full Course Yellow All Events During a Race All Drivers are required to reduce speed and proceed with caution, observe the flags, maintain position, and yield to safety vehicles and personnel. Upon the commencement of a full course Yellow Condition the Safety Car will be dispatched and pick up the leader. Drivers are required to form a single file line and pack up behind the Safety Car. Cars not packing up in an expedient manner may be passed upon approval by the Race Director and Drivers who do not obey these requirements may be subject to penalty. The following procedures will be in effect:
 - **7.1.3.3.1.** The Pit Lane will start and end at points designated by Officials. The pit entrance and pit exit lanes are considered part of the Track. Drivers exiting the Pit Lane shall rejoin the pack relative to the position they are to the Safety Car and/or Cars on the racing surface as they cross the blend out line. Taking improper position upon leaving the Pit Lane or failing to follow the direction of the Race Director may result in a penalty. The Race Director's determination of the order is not subject to review and/or appeal.
 - **7.1.3.3.2.** A Car must not use Pit Lane to improve its position relative to the Safety Car or any Car remaining on the Racing Surface, but a Car may improve its position relative to other Cars in Pit Lane.
 - 7.1.3.3.3. Laps completed will be scored, unless stated otherwise.
 - **7.1.3.3.4.** The Race Director shall determine if the leader or any other Car fails to pace the Race as directed. The Race Director shall determine the type and timing of any penalty, as it deems appropriate. Such decision is not subject to review and/or appeal.
 - **7.1.3.3.5.** The pit lane will not be closed under green or yellow Conditions unless otherwise announced by Officials.
 - **7.1.3.4.** The Race Director shall determine if the leader or any other Car fails to pace the Race as directed. The Race Director shall determine the penalty. Such decision is not subject to review and/or appeal.
 - **7.1.4.** Red Condition The Red Condition signifies suspension of on-Track activities.

- **7.1.4.1.** Practice/Qualifying All Drivers are required to slow to caution speed, maintain position and yield to safety vehicles and personnel. Cars are required to return to their respective pit locations. Lap timing ceases at the declaration of a Red Condition.
- **7.1.4.2**. Race/Parade and Pace Laps Competitors must proceed cautiously to a location designated by Officials.
- **7.1.4.3.** Unapproved work performed on a Car while under a Red Condition will result in a minimum two (2)-lap penalty, which will be enforced in a manner determined by the Race Director. Safety related work performed at the request of Series Officials shall result in the Car restarting behind all other Cars on the same Lap.
- **7.1.5.** The decision as to whether to declare a Green, Yellow, Full Course Yellow, or Red Condition may not be reviewed and/or appealed.
- **7.1.6.** While additional information regarding Track Condition is included in the Flag Code and Track condition lights section for convenience, this information is equally applicable to the other systems of notification (track lights, team broadcast frequency, track condition radio).
- **7.1.7.** Decisions by the Race Director are effective immediately, regardless of the time the declaration is received by the Competitors. The Series uses multiple systems to notify Competitors of such decisions and will make a reasonable effort to declare Track Condition changes at the time they are determined. All Competitors shall react to the first notification they receive. The systems include the following:
 - **7.1.7.1.** Track Condition Lights Green, Yellow and/or Red lights positioned around the Track above the retaining wall adjacent to the debris fence.
 - **7.1.7.2.** "Team Broadcast Frequency" The spotter (if applicable) and a representative of the Entrant in the Entrant's pit must both monitor the Team Broadcast Frequency. Entrants failing to monitor the Team Broadcast Frequency shall be charged with knowledge of communication on such frequency and are subject to penalty. Participant's radios, with the exception of Officials, must not be capable of transmitting on this frequency. Participants failing to follow directions may be penalized.
 - **7.1.7.3.** Track Condition Radio ("TCR") An in-Car warning light system activated by the Series. Radio, harness and antenna must be used as specified by the Series and the antenna must be mounted in the Series-specified location.
 - **7.1.7.3.1.** Oval Events The TCR signals a Yellow Condition.
 - **7.1.7.3.2.** Road/Street Course Events The TCR signals a Full Course Yellow Condition during a Race or Red Condition during, practice or qualifying sessions.

7.2. Flag Codes and/or Track Condition Lights (collectively, "Flags")

- 7.2.1. Green signifies a Green Condition. During all Events:
 - (a) Practice A practice session has begun.
 - (b) Qualifications A Qualifications attempt or session has begun.
 - (c) Race Unless otherwise instructed, a Race has begun.
 - **7.2.1.1.** Road/Street Courses Events Only Signifies the end of the Yellow Condition at the point where the flag is displayed or Track Condition Light is illuminated.

- **7.2.2.** Yellow signifies a Yellow Condition. During Road/Street Course Events:
 - **7.2.2.1.** Local Signifies the start of the Yellow Condition at the point where the flag is displayed or Track Condition Light is illuminated.
 - (a) Motionless A motionless yellow flag means reduce speed, overtaking not permitted, be prepared to change direction, a hazard beside or near the edge of the Track or a forewarning of a waved yellow flag ahead.
 - (b) Waved A waved yellow flag means reduce speed, overtaking is not permitted, be prepared to take evasive action or stop, a hazard wholly or partially blocking the Track.
 - **7.2.2.2.** Full Course Standing double yellow flags, displayed at all marshal's posts, including the starters stand.
- 7.2.3. Black The Driver shall proceed to their Pit Box on the next lap and follow the instructions of Officials.
 - 7.2.3.1. The Race Director may declare a black flag for a Car if it determines that:
 - (a) Any condition exists which could cause the Driver or the Driver's Car to create a hazard to the Driver or others;
 - (b) The Driver has violated a Rule; and/or
 - (c) The Entrant has requested the black flag.
 - **7.2.3.2.** When a Driver is ordered to their Pit Box because of a hazardous condition of the Driver's Car, the proper repairs must be made and approved by Officials before the Car will be permitted to continue in the Event.
 - **7.2.3.3.** In the event of a violation of the Rules, the black flag may be declared to impose a penalty ("black flag" penalty) requiring a Driver to:
 - (a) Drive through Pit Lane at Pit Lane speed limits ("drive through");
 - (b) Stop in their Pit Box ("stop and go"); or
 - (c) Stop in their Pit Box for a prescribed period ("stop and hold").
 - **7.2.3.4.** The Race Director shall determine the type and of black flag penalty. Service of a black flag penalty for a Rules violation must be initiated under Green Conditions. The penalized Car shall proceed to the Pit Lane as directed and may return to competition only upon the declaration of the Race Director. In the case of a Driver violating the Rules, no work may be performed on a Car during the execution of a black flag penalty. Should any such work occur, the conditions of the penalty are unfulfilled and the penalty procedure must be executed again in its entirety on a subsequent lap. The penalty may not be reviewed and/or appealed.
- **7.2.4.** Black with White Cross Officials have ceased scoring the Car indefinitely.
- **7.2.5.** Blue
 - **7.2.5.1.** An informational blue flag notifies a Driver that an approaching Car is attempting to overtake.
 - **7.2.5.2.** At Road/Street Course Events, a command blue flag ordered directly by the Officials and displayed from the starter's stand directs a Lapped Car to give way to the overtaking Car within one (1) lap.
 - **7.2.5.3.** At Oval Events, the informational blue flag will be displayed from the starter's stand as per <u>Rule 7.2.5.1</u>. A command blue flag ordered directly by Officials and displayed from the starter's stand directs a Lapped Car to give way to the overtaking Car within one (1) lap.

7.2.5.4. Pit Lane Exit Light – For Road and Street Courses Events only, an additional blue light is positioned at the Pit Lane exit and notifies a Driver leaving Pit Lane that a Car on-Track is in close proximity.

7.2.6. White

- **7.2.6.1.** Oval Event Qualifications The Car has commenced its last lap.
- **7.2.6.2.** Road/Street Course Events When displayed from a marshal's post, a Car or safety vehicle is not at full speed on the Racing Surface.
 - (a) Motionless means a slow Car at more than 1/3 racing speed is ahead or as a forewarning of a waved white flag ahead.
 - (b) Waved a very slow Car at less than 1/3 racing speed is ahead or a moving safety vehicle is ahead.
- **7.2.6.3.** All Races When displayed from the starter's stand, the leader has commenced his/her last lap and will continue to be displayed to all successive Cars as they cross the finish line.
- 7.2.7. White with Red Cross When displayed from the starters stand, safety vehicles and/or personnel are on Track.
- 7.2.8. Alternating Red and Yellow Stripes Oil, water or other substance has altered the Racing Surface.
- **7.2.9.** Checkered The practice session, Qualifying session or Race is completed. All Drivers must enter Pit Lane after receiving the checkered flag.
 - **7.2.9.1.** Road/Street Course Events The checkered flag will be displayed at the marshal's post nearest the alternate start/finish line at the end of practice and Qualification sessions. All Drivers must immediately enter Pit Lane after receiving the checkered flag at this point.
 - 7.2.9.2. Final Practice Sessions The checkered flag will be displayed at the start/finish line.
 - **7.2.9.3.** Race The checkered flag will be displayed to the Race leader at the start/finish line upon the completion of the Race Leader's final lap and will continue to be displayed until each Car running has received the checkered flag.
- 7.2.10. Red Signifies a Red Condition.
 - **7.2.10.1.** Unless otherwise declared by the Race Director, a Race stopped by the declaration of a Red Condition will be considered incomplete unless more than 50% of the scheduled number of laps or declared time limit has been completed by the Race leader. If Officials schedule the continuation of the Race on a subsequent date or a later time, the Race will be restarted beginning with the unfinished portion of the Race.
 - **7.2.10.2.** If a Race is stopped by the declaration of a Red Condition and more than 50% of the scheduled number of laps or declared time limit have been completed by the Race leader, Officials may declare the Race complete and final standings will be determined by ranking all Cars in order by total laps completed and sequence of completion through the last official Race lap.
 - **7.2.10.3.** Officials will make reasonable efforts to restart a Race stopped by the declaration of a Red Condition if the conditions warrant.
 - **7.2.10.4.** Official's decision to restart or not to restart a Race stopped by the declaration of a Red Condition may not be reviewed and/or appealed.

7.3. Timing and Scoring

- **7.3.1.** General
 - 7.3.1.1. Officials record the physical order in which each Car crosses each time line, including the Start/Finish Line.
- 7.3.2. Race Distance and/or Time Limit
 - **7.3.2.1.** Officials shall announce the scheduled number of laps and/or time limit prior to the start of a Race; provided, however, Officials may determine that there are time limitations due to weather, curfew, track conditions or otherwise, and the Series may move the start time of a Race, shorten the distance or time of a Race, set a maximum distance or time for a Race, or take such other actions as it deems appropriate.

7.3.3. Systems

- **7.3.3.1.** The electronic system is the primary record.
- **7.3.3.2.** The Series may also consult with other Officials, review camera footage, and consider such other data as necessary or appropriate to decide the order of the Cars.
- **7.3.4.** Start/Finish Line The scoring of Cars shall begin at the moment when the timing transponder of the lead Car reaches the starting line:
 - (a) Race after the prescribed number of parade and pace laps have been completed.
 - (b) Practice/Qualifying the display of the Green or Yellow Condition has been given by the Race Director.
 - **7.3.4.1.** Oval Events A single start/finish line will be defined across the Track, and extended across the Pit Lane where appropriate, in the immediate area of the starter's stand.
 - 7.3.4.2. Road/Street Course Events Officials may designate separate start/finish lines.

7.3.5. Lap Credit

- **7.3.5.1.** A Car is credited with a lap when its timing transponder crosses the Start/Finish Line after completing one (1) entire lap of the Track. If the transponder is not functioning, Officials will use best efforts to score the car. No times will be recorded.
- **7.3.5.2.** A Car is the first (1st) Car out of the Race and is awarded the final position based on the following order:
 - **7.3.5.2.1.** The Car is listed in the official Qualifications posting, but it is not in position in the Starting Lineup on the Grid and does not start a Race.
 - 7.3.5.2.2. The Car is in position in the Starting Lineup on the Grid, but it does not start the Race,
 - 7.3.5.2.3. The Car drops out during the parade or pace laps, or
 - **7.3.5.2.4.** The Car drops out of a Race before completion of the first (1st) lap.
 - **7.3.5.2.4.1.** In the event more than one (1) Car is affected in one (1) or more of the above categories, such Cars will be ranked based on their positions in the original Starting Lineup.
- **7.3.5.3.** If a Car returns to Pit Lane under its own power and retires from the Race, INDYCAR may credit the Car with completion of the lap.

- **7.3.5.4.** On the last lap of a Race, a Car will be officially credited with a lap when any part of the Car under its own power crosses the finish line.
- 7.3.5.5. As soon as practical after the completion of an Event, Officials will post the unofficial results.
- 7.3.5.6. Once the competition review and technical inspection is completed, INDYCAR will post the official results
- **7.3.5.7.** Final standings will be determined by the sequence in which the Cars completed the scheduled number of laps.
 - **7.3.5.7.1.** Except as provided in <u>Rule 7.3.5.4</u>, a Race is complete at the moment the timing transponder of the Race Winning Car crosses the start/finish line on the last lap. The Race will be completed by each other Car when the timing transponder of each Car crosses the start/finish line after the Race leader. Scoring will thereafter cease and the Race is completed. The Series decision may not be reviewed and/or appealed.
 - **7.3.5.7.2.** Cars not completing the scheduled number of laps will be ranked in order by total laps completed and sequence of completion, whether the Car is still running or not. Officials shall determine the "reason out" for each Car not listed as running.

7.3.6. Ties

- **7.3.6.1.** In the event Officials are unable to conclusively determine any difference in the physical sequence for two or more Cars at the end of a Race, Officials shall determine the finishing positions based upon the Cars' positions at the finish line on the prior lap.
- **7.3.6.2.** In the event two or more Cars post the identical number of laps led in a Race, the Car finishing the Race in the higher/highest position will earn the one point or award for most laps led.
- **7.3.6.3.** The Official's decisions are not subject to review and/or appeal.
- **7.3.7.** Shortcuts A Car will not be permitted to advance its position relative to other Cars due to an excursion off the Racing Surface
 - 7.3.7.1. At least two (2) wheels of a Car must remain on the Racing Surface at all times.
 - **7.3.7.2.** Practice/Qualifications Cars shortcutting the course will have the shortcut lap time invalidated.
 - **7.3.7.3.** Race If evasive action results in the advancement of position or time relative to Cars on-Track, the Race Director may reposition Cars in accordance with <u>Rule 9.2.2.4.</u>

7.4. Communication

- 7.4.1. In-Car Radio & Radio Location
 - **7.4.1.1.** The in-Car radio must not be capable of transmitting or receiving in a digital format. Radio transmissions must not be encrypted, scrambled or otherwise modified to affect INDYCAR's ability to record, scan and hear at any time during the Event. Channel hopping transmissions to or from the in-Car radio are not permitted. All voice radio transmissions to or from the Car must be in the 450.000 MHz to 470.000 MHz range.
 - **7.4.1.2.** Only the primary and backup frequencies are permitted in the Car radio.
 - **7.4.1.3.** Radios may not be located in the cockpit area.

- **7.4.2.** Frequencies Each Member transmitting or receiving any signal including, without limitation, voice, data and telemetry, but excluding Internet related Wi-Fi (regulated pursuant to Rule 7.4.4.) shall:
 - **7.4.2.1**. Provide the specifications (e.g., frequency, manufacturer, make, model and serial number) of the radio to be used in the Car and otherwise, to the INDYCAR-designated representative prior to their first Event;
 - **7.4.2.2.** Not password-protect or otherwise affect or limit the INDYCAR-designated representative's ability to scan and hear the radio to be used in the Car at any time;
 - **7.4.2.3.** Notify the INDYCAR-designated representative immediately upon changing any of the equipment specifications or frequencies of the radio to be used in the Car and otherwise;
 - **7.4.2.4.** Consent and hereby does consent, to the recording, broadcast and/or rebroadcast by INDYCAR or its designee of any such transmissions through any and all media and for any and all purposes; and
 - **7.4.2.5.** Comply with all applicable laws regarding such use and indemnify and hold INDYCAR Group harmless with respect to failure to comply with applicable laws, even if the violation involves INDYCAR Group's or its designee's sole or contributory negligence.

7.4.3. Radio Communication

- **7.4.3.1.** During all on-Track Events, radio communication between the Driver and the Entrant's Pit Box is required at all times.
- **7.4.3.2.** Car-to-Car radio communication is not permitted.
- **7.4.4.** Wireless Data Frequency ("Wi-Fi") Each Member transmitting or receiving any signals for wireless data transmissions shall: (i) coordinate and modify frequencies for use with other wireless data networks as specified by INDYCAR; (ii) limit the use of Wi-Fi to hot spot use only (the use of Wi-Fi backhaul and hi gain antennas is not allowed); (iii) comply with all applicable laws regarding such use; and (iv) indemnify and hold INDYCAR Group harmless with respect to failure to comply with applicable laws, even if the violation involves INDYCAR Group's sole or contributory negligence.

7.5. Pit Locations/Selection

- **7.5.1.** All Races Pit locations for Race weekends are assigned by Team Championship points with their associated Team Cars and at the determination of the Technical Director.
- **7.5.2.** For the first Race Location of the season, pit locations shall be assigned based upon prior season ending Team Championship point standings. Andersen Promotions shall determine an Entrant's eligibility. Entrants without points shall be assigned by the date that Entry was received.
- 7.5.3. At a Double-Header Race Location, the pit location will not be moved between Races.

7.6. Race Start

7.6.1. The Pole Position Car will start from the inside of the front row. Each Car must be in position in the Starting Lineup during the parade and pace laps until display of the Green Condition.

- **7.6.1.1.** If a Car is not in grid position prior to the beginning of the pace lap(s), the Car must start from the rear of the Starting Lineup.
- **7.6.1.2.** If two or more Cars are affected, Officials decide the positions of the Cars at the rear of the Starting Lineup.
- 7.6.1.3. All other Cars must remain in their positions in the Starting Lineup, unless otherwise directed by Officials.
- **7.6.2.** If a Car is in Pit Lane or the garage area and not in position in the Starting Lineup when the scoring for the Race begins, the Car's first scored lap will start as the Car leaves the Pit Lane.
- **7.6.3.** A Pace Car will be used to pace the field at the start of the Race. The Pace Car will have its flashing lights on during the parade and pace laps. At the appropriate time, the lights will be turned off, indicating the intent to start the Race the next time across the starting line. The Pace Car will pull off into the designated location. The lap count may begin at the conclusion of the pace lap.
- 7.6.4. A Car is deemed to have attempted to start the race once the Car leaves Pit Lane for the parade and pace laps.
- **7.6.5.** Cars not attempting the start or dropping out on the parade or pace laps may be considered cause for delaying the start. Such Cars may be permitted to join the Race, but not within the final 10 minutes, under the direction of Officials whenever their difficulty is corrected. Additionally, <u>Rule 7.8.1.</u> shall apply and Cars that are permitted to join are not eligible for any bonus points.
- **7.6.6.** All Drivers must maintain their relative positions until the Green Condition is displayed. If a Driver improperly improves his/her position prior to the declaration of the Green Condition, the Driver may be penalized.
- **7.6.7.** Upon the Race Director's declaration, all Cars must start a Road/Street Course Race on "wet" Tires. While changes may be made to the Cars on the grid to accommodate the "wet Tires" the Entrant remains responsible for complying with post-Race technical inspection. After such a declaration has been made, Entrants may change to dry tires only after taking the green flag on the Race Track. Subsequent Tire changes are at the Teams discretion and have no minimum lap restriction.
- **7.6.8.** If track conditions become unsafe for racing on dry tires, the Race will be red flagged and Teams must change to wets. Upon direction from Race Control, drivers will proceed to their pit boxes and Teams will have five minutes to change tires. Teams may not begin any work until Race Control announces the final car has entered their assigned pit box. Additional changes can be made to accommodate the conditions provided work is completed within the allotted amount of time.
- **7.6.9.** Once time has expired, Teams must be ready to resume the Race. Teams who do not leave the pit area when requested will restart from the Pit Lane.
- **7.6.10.** Cars will restart in the order they were running before the red flag including all cars who may have changed to "Wets" before the red flag was declared. Any Cars in the Pit Lane at the time of the Red Flag will restart at the rear of the field.
- 7.6.11. Subsequent changes to "Dry" tires may only occur after taking the green flag on the Race Track.

7.7. Race Restart

7.7.1. After a Yellow Condition

- **7.7.1.1.** Prior to the restart, any Cars between the Safety Car and the Race leader will be waved by to join the rear of the field. Waved by Cars may pit. The Race Director may restart the Race prior to the waved by Cars having joined the rear of the field
- 7.7.1.2. The Race Director in his discretion may move lapped Cars to the rear of the field before a restart.
- **7.7.1.3.** At the appropriate time, the Pace Car lights will be turned off, indicating the intent to restart the Race. The leader is required to maintain the pace lap speed until reaching a point designated by the Series near the start/ finish line when the leader shall accelerate smoothly back to racing speed and the Green Condition will then be displayed. All Car(s) must maintain their respective Track positions until the Green Condition is displayed
- **7.7.2.** After a Red Condition All of the standard restart procedures after a Yellow Condition shall apply with the following exceptions:
 - **7.7.2.1.** The order will be determined by the physical sequence of the Cars at the declaration of the Red Condition.
 - 7.7.2.2. Not Applicable
 - **7.7.2.3.** If a Car is unable to restart after the command by Officials, it must return to its Pit Box or another area designated by Officials.
 - 7.7.2.4. Not Applicable
 - 7.7.2.5. Not Applicable
 - **7.7.2.6.** Notwithstanding <u>Rule 7.1.4.3.</u> the following reasons may be cause for a Car to be placed at the rear of the Restarting Lineup:
 - (a) Cars involved in the incident that caused the Red Condition. The decision as to whether a Car has been involved in an incident may not be reviewed or appealed;
 - (b) Cars stopped on the Track, including the Pit Lane, during the last officially scored lap; and/or
 - (c) Cars, which have performed work under Rule 7.1.4.2.1.
 - **7.7.2.6.1.** The order of Cars placed at the rear of the Restarting Lineup will be in order of total laps completed and sequence of completion.
 - **7.7.2.7.** Any laps being run under a Yellow Condition will be scored. Any Cars remaining in Pit Lane at the time of a restart may join the Race if approved to compete by the Race Director.

7.8. Mechanical Conditions

- **7.8.1.** Officials shall determine whether a Car involved in a crash or with a hazardous mechanical condition will be permitted to continue in the Event or must first return to the Pit Lane or the garage area for necessary repairs.
- 7.8.2. Not Applicable
- **7.8.3.** Officials shall determine how a disabled Car is removed and where the Car is taken. Officials are not responsible for payment, reimbursement, damage, or loss to any Car as a result of such removal and with respect to the location to which the Car is taken.

- **7.8.4.** After repairs have been completed by the Entrant's crew, the Car is subject to visual or other inspection by Officials prior to and/or during any further competition. If Officials determines that further repairs are warranted, the Entrant's crew must make those repairs before the Entrant's Car is permitted to return to competition.
- **7.8.5.** Cars being repaired late in the Race may be permitted to join the Race, but not within the final 10 minutes, under the direction of Officials whenever their difficulty is corrected.
- 7.8.6. Cars are not permitted on-track without the nose and attenuator securely fastened.

7.9. Performance Standards

7.9.1. Officials may establish a performance standard which all Entries entered in an Event must achieve in order to participate in the Event. In general, Entries must perform within 105% of the Car posting the best time and demonstrate Car consistency, control/placement, and interaction with other Cars on Track to the satisfaction of the Race Director.

7.10. Pit Procedures

- 7.10.1. The Race Director may declare Race conditions for any on-Track activity.
- 7.10.2. All Cars must be centered in their assigned pits. The Car must be parallel to the pit wall.
- 7.10.3. Equipment and tires on the Trackside of pit wall must be attended by a crew member at all times.
- 7.10.4. An Entrant is not permitted to utilize a signboard without an Official's approval.
- 7.10.5. Except as otherwise provided by the Rules, all personnel and equipment must remain within the assigned pit.
- **7.10.6.** A speed limit not exceeding 45 mph at all tracks will be enforced within the Pit Lane areas defined by painted lines, cones and/or other visible markings.
- **7.10.7.** No refueling or removal of fuel is permitted during any qualifying sessions, during the race, or at any time prior to the completion of post qualifying or post-race technical inspection. Fueling is permitted in the paddock, fuel service area or Pit Lane only. Fuel may not be stored in an Entrants garage.
- **7.10.8.** Three (3) crew members are allowed over the wall to attend to the Car and Driver. An additional one (1) crew member (who is identified to a pit official prior) is allowed over the wall to observe only. This applies at all times during the Race. The "wall" is defined as an imaginary line drawn between the teams pit equipment and the race-car. The penalty for this violation is a 30 second time penalty added post-race. Additional penalties may apply.

7.11. Pit Safety Violations

- **7.11.1.** Any of the following matters and any others which may be determined by the Series may be cause for a Car to be penalized:
 - **7.11.1.1.** Failing to follow designated procedures entering or exiting the pit area, including the proper use of the acceleration and deceleration lanes;
 - **7.11.1.2.** Car that makes contact with its own pit equipment while entering or exiting its Pit Box causing a safety issue and/or causing the pit equipment to leave its assigned Pit Box and/or impeding the progress of any other Car;

- **7.11.1.3.** Car that makes contact with another Car's pit equipment, causing a safety issue and/or impacting that Car's pit stop and/or impeding the progress of any Car.
- **7.11.1.4.** Contact with another Car or unsafe release from its Pit Box.
- 7.11.1.5. Contact with personnel or hitting equipment and causing it to contact personnel;
- **7.11.1.6.** Car entering a Pit Box other than the Car's assigned Pit Box.

QUALIFICATIONS

8.1. General

8.

- **8.1.1.** Overview This Rule 8.1 contains qualifications Rules for all Race Events.
- **8.1.2.** Qualifications determine the eligibility and starting position of each Car desiring to participate in a Race by ranking the Car on the basis of its performance in qualifications.
- **8.1.3.** Meetings Officials may hold a mandatory meeting prior to the start of any Qualifications.
- **8.1.4.** Starting Time The Race Director shall determine the starting time for the Qualifications period and may adjust the length of the session time as he deems necessary.
- 8.1.5. Delays The Race Director may penalize any Member attempting to delay or delaying Qualifications.
- **8.1.6.** Interruption Qualifications may be interrupted by the commencement of a Yellow or Red Condition. Qualifications is suspended at the moment that the decision to interrupt is made. The decision to interrupt or suspend an attempt or Qualifications (and reasons therefore) may not be reviewed and/or appealed.

8.2. Starting Lineup

- **8.2.1.** Officials shall determine the size and configuration of the Starting Lineup for each Race. The configuration of the Starting Lineup may include qualified and non-qualified Cars.
- **8.2.2.** Except as otherwise provided by these Rules, the Starting Lineup shall be determined by best time rankings, from fastest to slowest, with the fastest qualifier occupying the pole position, followed by the second fastest qualifier, third fastest, etc., down through the slowest qualifier.
- **8.2.3.** In the event two or more Cars post identical official Qualifications times, the Cars shall be ranked in the order in which the qualification times were posted.
- **8.2.4.** Starting positions shall include any penalties issued.
- **8.2.5.** No Qualifications / Qualifications Not Completed If not all Cars have been given an opportunity to qualify or Qualifications was cancelled, Officials shall assign all starting positions based on Driver points from the most recent Race. At the first Event of the season, Officials shall rank Entries based on combined practice times. All additional tiebreaks will be based on a blind draw.

8.3. Subsequent Change in Condition

- **8.3.1.** If after the Starting Lineup has been determined, and a Cars qualifications time and/or original Starting Lineup position disallowed, Officials shall assign the Car a revised Starting Lineup position at the rear of the field based on the following order:
 - (a) Cars with a Competition or Technical violation
 - (b) Cars with change of Driver
- **8.3.2.** If more than one Entry is affected in either of the above categories Cars shall be ranked in order of Driver points based on the most recent Race. In the Event there are no points or tied a blind draw will be used.

- **8.3.3.** There is no penalty for substituting severely damaged Qualified Cars considered non-repairable by the Technical Director.
- **8.3.4.** There are no penalties for engine changes throughout an Event.
- **8.3.5.** If more than one Entry is affected in one or more of the above categories, Officials shall rank such Entries within such category based on the following priority:
 - (a) Driver points entering the Race
 - (b) Combined practice times
 - (c) Blind draw
- **8.3.6.** Qualified Cars All Qualified Cars must remain on the grounds of the Race Location after Qualifications unless otherwise permitted by Officials.

8.4. Oval Events

- **8.4.1.** Qualifications Order The Qualifications order will be based on Driver Championship points, with the Driver having the most points going last. Drivers with no points shall qualify first and if there's more than one their order shall be determined by a blind draw.
- **8.4.2.** Qualifications Line Cars must be in the Qualifications line at the designated time to be guaranteed an attempt.
- **8.4.3.** A Car reserves its position in the Qualifications line as long as it remains in the designated position and proceeds with the Qualifications attempt when signaled. The Car must be moved as the Qualifications line progresses. A Car must not leave the Qualifications line without the approval of Officials.
- **8.4.4.** While the Car is in the Qualifications line, an Entrant's representatives may make Tire pressure and front wing flap adjustments only. Mainplane, wicker or complete wing assembly changes are not permitted.
- **8.4.5.** Warm-up laps A Car shall be given two (2) warm-up laps. The Race Director may add or remove warm-up laps, as he deems appropriate. Only one (1) warm-up lap will be given at Indianapolis.
- **8.4.6.** Qualifications will be based on one (1) individually timed attempt per Car. A Qualifications attempt is the total of two consecutively timed laps.
- **8.4.7.** Each Car will be permitted only one departure from the Qualifications line for its attempt. A Qualifications attempt may only be started and completed under the green condition.
- **8.4.8.** All Cars in the Qualifications order shall be given one guaranteed attempt to qualify. The following matters and any others which may be determined by the Race Director are cause for a Car to forfeit its guaranteed attempt and to be listed at the rear of the starting grid:
 - (a) The Car fails to leave pit lane when signaled by Officials
 - (b) The Car leaves pit lane but fails to receive the green flag
 - (c) The car fails to receive the checkered flag
 - (d) If more than one Car is so affected, the Cars shall be ranked by Driver points
- **8.4.9.** If the Qualifications period concludes before each Car has been given its "Guaranteed Attempt" then Qualifications shall resume at a time designated by the Race Director. If the Race Director is unable to resume Qualifications and allow each Car its guaranteed attempt, or was Qualifications was cancelled for any reason, the entire Starting Lineup shall be determined by Driver points.

- **8.4.10.** If the interruption is caused by a Car's Entrant or Driver and occurs during a Car's Guaranteed Attempt, such Car shall forfeit its Qualifications attempt and may be listed at the rear of the Starting lineup.
- **8.4.11.** If the interruption is not caused by a Car's Entrant or Driver, such Car shall not be charged with a Qualifications attempt and the Car may be permitted to return to its position at the front of the existing Qualifications line and proceed with its Qualifications attempt when Qualifications resumes. The only changes that may be made to the Car are Tires may be changed and fuel added. If the Series cannot resume Qualifications, the Series shall preserve the Qualifications line already established. If Officials release a Car during a suspension, the Entrant is not required to keep its Car at the designated location during the suspension. Officials shall announce prior to resuming Qualifications that all Cars are to be returned to the designated location. Any Entrant not returning an Entrant's Car to the designated location by the designated time shall forfeit the Entrant's Guaranteed Attempt and may be listed at the rear of the Starting Lineup.

8.5. Road/Street Courses

- **8.5.1.** Qualifications shall consist of one group unless otherwise announced by bulletin. If two groups are required, the following shall apply:
 - **8.5.1.1.** Groups The groups will be determined by Practice times from the session immediately proceeding Qualifications. The Car with the best Practice time shall determine the Qualifications groups by selecting group one (1) or group two (2) for themselves.
 - **8.5.1.1.1.** If the Car with the best Practice time selects group one (1) then all odd number Practice time positions shall be in group 1.
 - 8.5.1.1.2. If group two (2) is selected then all odd number Practice time positions shall be in group two (2).
 - **8.5.1.2.** No Practice If the Practice session was cancelled or did not occur, the groups will be determined by Driver points entering the Event weekend. The Driver with the most points will select the group. Drivers with odd numbered Championship standings shall be placed in the same group and even numbered Championship standings in the other
 - **8.5.1.3.** Rankings The fastest overall time from the two groups shall start from the pole position. All other Cars in the same Qualifications group shall occupy the odd numbered positions, and the fastest overall time from the other group shall start from position 2. All other Cars in the same Qualifications group shall occupy the even numbered positions.
- **8.5.2.** A separate qualification session may be held for each Race if the schedule permits. In the Event scheduling does not permit separate sessions, or the session was abandoned prior, Cars shall be ranked based on their fastest lap from the previous Race.
- **8.5.3.** If there was no previous qualification session or Race during that Event, Cars shall be ranked based on combined practice times.
- **8.5.4.** Red If a Car causes a Red flag or otherwise interferes with qualifications as determined by the Race Director, the Car's best timed lap of the session will be disallowed.
- **8.5.5.** Two Reds If a Car causes two Red flags or otherwise interferes with qualifications as determined by the Race Director, all session times will be voided, and the Car may not be permitted to participate in the remainder of the session.

- **8.5.6.** Interference If a Car has a problem, the Driver made a mistake, is on an out lap or for any other reason is not fully up to speed, Drivers must pay attention not to interfere with another Cars lap. Interference as determined by the Race Director may result in a penalty. If the situation warrants a penalty, the penalty will be loss of fastest lap of the session.
- **8.5.7.** Checkered Flag If a Driver does not obey the checkered flag, regardless of the location its displayed, they may be penalized. If a penalty is warranted, it will be a loss of two (2) grid positions.
- **8.5.8.** Local Yellow If a Driver does not slow sufficiently for a local yellow as described in <u>Rule 7.1.3.2.</u> they may be penalized. If a penalty is warranted, it will be a loss of two (2) grid positions.
- **8.5.9.** Performance Standard The Race Director at his discretion may exclude cars from further participation during the final 10 minutes of qualifying if a cars lap time does not meet minimum performance standards. As a reference 105% of the fastest time will be used as a guideline.

8.6. Technical Inspection

- **8.6.1.** Data Download Entrants may not download data after the checkered flag has been displayed post qualifying and Race or as directed by Officials until the Car has been released from technical inspection.
- **8.6.2.** Impound Area Officials shall direct selected Qualified Cars to a designated "impound area" to check for compliance. A maximum of two crew members per Car will be permitted in the impound area.
- **8.6.3.** Fuel A fuel sample may be taken from any Car prior to and after the Qualifications attempt. The fuel temperature must be no more than 5 degrees below ambient temperature as measured by the Series. Fuel must not be added to the car during a qualification session.
- **8.6.4.** Technical Violations If a Car does not successfully complete post-qualifying technical inspection, Officials may penalize the Car. Penalized Cars shall start from the rear of the field.

9. PENALTIES

9.1. General

9.1.1. Officials may penalize any Member for any violation of the Rules. If an Official observes or is made aware of an act or omission by a Member that constitutes a violation of the Rules, the Official shall promptly report the violation to the Race Director. The Race Director shall consider the report and shall conduct whatever additional inquiry it deems appropriate under the circumstances. After concluding the inquiry, the Race Director shall determine whether disciplinary action is appropriate and if so, what disciplinary action should be taken. The Member shall be informed of the determination and if disciplinary action is imposed. The Race Director shall issue a penalty notice to the Member specifying the violation, a brief statement of the circumstances of the violation and the penalty imposed. If the act or omission of a Member is determined by the Race Director to constitute a threat to the integrity or safety of the Series or to the orderly conduct of an Event or to constitute a violation during an Event, Officials may take immediate action against the Member.

9.2. Scope of Penalties

- **9.2.1.** Penalties for violations of the Rules are divided into two categories: Race Procedure penalties and non-Race Procedure penalties. Penalties are determined by the gravity of the violation and its impact on the fairness of competition, the orderly conduct of the Event and the interests and integrity of automobile racing, Andersen Promotions and INDYCAR. Any Driver receiving three (3) penalty citations during any one season, shall be automatically placed on probation and face the consequences as outlined under <u>Rule 9.2.3.3</u>.
- **9.2.2.** Race Procedure Penalties If circumstances do not permit a penalty to be served during on-track activity, the Race Director shall declare the results provisional and subject to examination which shall take no longer than two (2) hours after the displaying of the checkered flag. This examination is independent of the Competitor's requirement to pass post-Race technical inspection. If the imposition of a penalty is near or at the end of the on-track activity and the Driver/Car does not fulfill it, the Race Director may reposition the Driver/Car in the posting of results. Additional penalties may be applied to subsequent on-track activities to reflect the fulfillment of the penalty. Except as provided in Rule 12.2.1, the penalty, including without limitation any repositioning in a posting, is non-reviewable and/or appealable.
 - 9.2.2.1. Black Flag The Race Director may impose black flag penalties.
 - **9.2.2.2.** Laps The Race Director may impose lap penalties. Lap penalties shall be imposed in complete laps only. The imposition of a lap penalty shall result in the removal of official credit for the specified number of penalty laps from the total laps credited to the Competitor and the scoring records and all points and awards shall reflect the removal of penalty laps.
 - **9.2.2.2.1.** If the lap penalty is imposed during the on-track activity, the removal of official credit shall begin with the Competitor's lap in which the violation occurred and shall include subsequent consecutive laps, as determined by the Race Director.
 - **9.2.2.2.2.** If the lap penalty is imposed after the on-track activity is completed, the Race Director shall determine, based on the severity of the violation, whether the removal of official credit shall begin with the Competitor's last lap and include previous consecutive laps as required, or begin with the Competitor's lap in which the violation occurred.

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- **9.2.2.2.3.** The Race Director shall make a reasonable effort to notify the penalized Competitor of a lap penalty at the time it is determined. However, failure to notify the Competitor during the on-track activity will in no way mitigate or change the penalty.
- **9.2.2.3.** Time The Race Director may impose time penalties as described below. The imposition of a time penalty may result in the removal or addition of the specified amount of time to the Competitor and the timing and scoring records and awards shall reflect the removal or addition of time.
 - **9.2.2.3.1.** If the time penalty is imposed during the current on-track activity, time shall be removed or added to the Entrant's overall time on the official posting.
- **9.2.2.4.** On-Track Repositioning The Race Director may impose a repositioning penalty during on-track activities. The repositioning penalty will result in an order change of the Car's on-Track position.
- **9.2.2.5.** Starting Lineup Repositioning The Race Director may impose a Starting Lineup penalty. A Starting Lineup penalty is repositioning of an Entrant in the Starting Lineup. If a Starting Lineup repositioning penalty is announced, the recipient of the repositioning penalty may not pit until a Green Condition occurs, unless approved by Officials.
- **9.2.2.6.** Disqualification The Race Director may disqualify the Member. Disqualification shall entail the loss of any right to compete in the remainder of the current Event from the time at which the disqualifying condition first occurred. It may entail the forfeiture of Entry fees paid or payable and the Race Director shall determine, based on the severity of the violation, whether or not the disqualification includes the forfeiture of points and awards earned up to the moment at which time the infraction for the disqualification first occurs.
- **9.2.3.** Non-Race Procedure Penalties Violations of non-Race Procedures generally result in penalties imposed during off-Track activity. Penalties are reviewable and/or appealable except as provided in <u>Rule 12.2.1.</u>
 - **9.2.3.1.** Additional Appearances and/or Meetings The Race Director may require a Member to attend and actively participate in appearances and/or meetings in addition to those required of the Member, other Members, pursuant to the Rules and any other agreements. The Race Director may specify the due date for completion. Failure to attend and/or participate by the due date may result in reinstatement of the monetary fine if a monetary fine was imposed, or additional penalties as determined by the Race Director.
 - **9.2.3.2.** Monetary Fines The Race Director may issue monetary fines and specify the due date for payment. Unpaid fines may be deducted from any awards payable by Andersen Promotions to the Entrant associated with such Member. INDYCAR may suspend or refuse to approve the renewal of the License and/or membership of any Member failing to timely pay any fine during the period the fine remains unpaid.
 - **9.2.3.3.** Probation Officials may place a Member on probation. Probation is a state of limitation upon a Member's privileges. During probation, a Member is under heightened scrutiny and the Member can be required to abide by specified standards of conduct that are more stringent than those which are otherwise applicable to Members under the Rules. If a Member violates probation, the Member is subject to a more severe penalty as determined by INDYCAR, Andersen Promotions or the Race Director. Officials shall determine the duration and conditions of probation.
 - **9.2.3.4.** Suspension A suspension may be total suspension from INDYCAR or it may be limited to a suspension of membership or License privileges at a Race Location facility or facilities, or for a particular Event or Events, or for a specified or indefinite period of time. Unless expressly limited, a suspension shall entail the loss of any right to take part in any capacity whatsoever in any Event or Events included in the suspension. Unless otherwise stated,

individuals under suspension will not be issued credentials of any kind for an Event and the privileges and uses of the Track, pit area, garage area and other restricted areas will be denied. Every suspended individual shall return all credentials and/or Licenses to INDYCAR who will not return them until the term of the suspension has expired. Any delay in surrendering the credentials and/or Licenses shall be added to the term of the suspension. If the suspended individual does not return the credentials and/or Licenses, the credentials and/or Licenses shall be inoperative and invalid until the lifting of the suspension. Suspension may also render void any previous Entry made for any Event occurring during the term of such suspension and may entail the forfeiture of any applicable Entry fees paid or payable for any such Entries. INDYCAR shall have the right to reduce, increase, or otherwise modify the unexpired term of a suspension issued under the Rules.

9.2.3.5. Loss of Points and/or Awards – Officials may rule that a Member who violates the Rules will forfeit all or a portion of the points and/or awards earned in that year, including points and awards earned in the Event in which the violation occurred.

9.3. Additional Provisions and Guidelines

- **9.3.1.** Improper Conduct Any Member attempting to or engaging in unsportsmanlike conduct, unsafe conduct, or conduct detrimental to racing; INDYCAR / Andersen Promotions, whether during an Event or on/off the Track, may be subject to any or all penalties. Improper conduct shall include, without limitation:
 - 9.3.1.1. Engaging in reckless, careless, unsafe, and/or overly aggressive actions, or unsportsmanlike behavior;
 - 9.3.1.2. Impeding the conduct of an Event, including Car control, placement and inconsistent driving pattern;
 - 9.3.1.3. Causing an avoidable Yellow or Red Condition;
 - 9.3.1.4. Endangering the safety of Members, or others;
 - 9.3.1.5. Failing to participate at competitive speed;
 - 9.3.1.6. Failing to interact with other Cars on Track to the satisfaction of Officials;
 - 9.3.1.7. Failing to follow the direction of Officials; and/or
 - **9.3.1.8.** Using improper, profane, or disparaging language or gestures in reference to any Official, Members or anyone else or improper, profane or disparaging language or gestures in reference to, concerning or connected in any way with INDYCAR, Andersen Promotions, or any Event and could result in additional penalties as determined by Officials.
- **9.3.2.** Blocking / Defending A Driver must not alter their racing line based on the actions of pursuing Drivers to inhibit or prevent passing. Initiating one (1) defensive move is considered acceptable. Additionally moving back towards the racing line for the corner is permitted only if other Drivers are not obstructed or forced to deviate from their line into the corner. Dangerous maneuvers or moves initiating or resulting in contact will be penalized.
- **9.3.3.** Avoidable Contact The primary responsibility for avoiding contact resides with the overtaking Driver and the secondary responsibility resides with the Driver(s) being overtaken. A Driver who fails to demonstrate their responsibility and initiates a maneuver that results in contact may be penalized.

- **9.3.4.** Team Tactics and/or Team Orders Officials determining one or more Members attempted to or engaged in an act causing a condition which warranted an interruption of the Race, may issue a penalty to any or all of the Members, including without limitation any or all of a Team's Car/Driver combinations.
- **9.3.5.** Assault or Battery If any Member shall attempt to or commit an assault or battery, such Member may be disqualified and/or suspended by INDYCAR or Andersen Promotions and may be subject to additional penalties.
- **9.3.6.** Gambling on Events Applicants and Members shall not engage, nor attempt to engage, in any gambling activity (as defined below) relating to any Event, or any portion of an Event, (i) in which INDYCAR is involved, including, but not limited to, IndyCar Series, Indy Lights, Indy Pro 2000 Championship, USF2000 National Championship and/or MX-5 Cup events, or (ii) that in the judgment of INDYCAR could represent and represents the potential for a conflict of interest, unsportsmanlike conduct or conduct detrimental to racing and/or INDYCAR Group. This Rule applies to all gambling activities including without limitation, those available in any gaming facility, casino, lottery gaming facility, racetrack gaming facility, or on the internet or electronically, or in all other establishments and locations. Gambling activity, for purposes of the above, is defined as placing anything of value at risk, whether monetary or otherwise, in connection with a bet, wager, or game of chance. If an applicant or Member wishes to participate in any gambling activity associated with or related to INDYCAR or its affiliates (for example, a celebrity blackjack tournament), he/she may do so only if he/she has received the prior written authorization of INDYCAR. Such authorization, if granted, will be limited to the extent authorized by INDYCAR.
- **9.3.7.** Social Media Competitors and Officials must comply with provisions of the INDYCAR Social Media Policy at all times.
- **9.3.8.** Detrimental Member Conduct The favorable reputation of INDYCAR, and its Members, is a valuable asset and creates tangible benefits to all involved. Accordingly, it is an obligation of all Members to refrain from engaging in conduct which is detrimental to INDYCAR and its Members. Such conduct shall include, but not be limited to comments to the news media that unreasonably attack or disparage Members or INDYCAR. Responsible expressions of legitimate disagreement with INDYCAR are not prohibited. However, public comments a Member knows, or should reasonably know, will harm the reputation or financial best interests of a Member or INDYCAR are expressly covered by this Rule.

10. REVIEW

10.1. Introduction

10.1.1. Except as expressly provided in these Rules, the exercise of judgment by Officials during an Event issuing a penalty or otherwise shall not be reviewed and the decision of Officials is final and binding. By submitting a membership application and in consideration of receiving the numerous benefits available, each Member agrees that the final and binding decisions of Officials are non-litigable. No court action of any kind may be taken by any Member. Further, there is no right of review or appeal of any INDYCAR decision or penalty to FIA and/or ACCUS-FIA and/or any other third party including the FIA International Court of Appeals and ACCUS-FIA National Court of Appeals, even if an Event is listed by ACCUS-FIA and/or another ASN on the FIA international calendar. If a Member initiates or participates in litigation in violation of these Rules, all membership privileges may thereupon be suspended and Member is subject to the provisions of Rule 2.8 of these Rules.

10.2. When is a Review Permitted

- **10.2.1.** Race Procedure penalties are not subject to review and appeal, except for (i) disqualification, (ii) suspension, (iii) probation, (iv) a monetary fine in excess of Ten Thousand and 00/100 Dollars (\$10,000.00), (v) an award fine, and/or (vi) a point fine.
- **10.2.2.** Non-Race Procedure penalties are subject to review and appeal, provided a review is permitted by the Rules and the request for review is in accordance with these Rules.
- **10.2.3.** An applicant or Member may not request review of the existence or wording of a Rule and/or the non-imposition of a penalty.

10.3. Who May Request a Review

- **10.3.1.** Only the Entrant submitting an Entry may request a review of the rejection of the Entry. Any Competitor who competes in any Event that is not in accordance with the published Entry form conditions waives the right to challenge the non-enforcement of such conditions.
- **10.3.2.** Only the individual or entity submitting a license or membership application may request a review of a decision related to such application.
- 10.3.3. Only the Member receiving a penalty may request a review of the penalty of the Member.
- **10.3.4.** If more than one Member is involved in the same violation of these Rules, each Member must submit a request for review.
- **10.3.5.** If more than one Team is involved in the same violation of these Rules, each Team must submit a request for review.

10.4. Process to Request a Review

- **10.4.1.** A request for review shall be in writing and include the following:
 - (a) Clearly state the errors claimed;
 - (b) Each claimed error must be stated in specific terms and must be accompanied by a written statement of the facts and grounds upon which it is based.
 - (c) Designate a single point of contact for the party requesting the review and contain the name, address, e-mail and telephone number for this person who will be the only point of contact concerning the review with respect to such party.
- **10.4.2.** The request for review must be delivered within two (2) Business Days of issuance of the penalty or decision (not including the day the penalty or decision is issued) and sent via email to rules@indycar.com.

- **10.4.3.** If INDYCAR decides a request for review does not adhere to these Rules, it may be dismissed and treated as if it were not submitted. If the two (2) Business Days have passed, a new request for review may not be submitted. If the two (2) Business Days have not passed, a new request for review may be submitted.
- **10.4.4.** If INDYCAR decides a request for review adheres to these Rules, INDYCAR specifies an individual to serve as review administrator to assist the review party, INDYCAR and the review facilitator with any and all administrative aspects of the review. The review administrator is not involved in any substantive manner in the review. During the review process, the review administrator is the only point of contact for the review party, INDYCAR, and the review facilitator regarding the administrative aspects of the review process.

10.5. Review Process

10.5.1. The review will be conducted by a review facilitator. The review will be heard by a review facilitator comprised of one (1) of the following possible review facilitators:

Kevin Miller Lyn St. James

Bill Baten Vicki O'Connor

Billy Boat Chris Paulsen

Bill Bock Robin Pemberton

Frank Pulice Dan Cotter
Buddy Rice John Darby

Gary Roberts Rollie Helmling

John Schneider Victor Lechtenberg

- **10.5.1.1.** Upon receipt of a request for review, the review administrator will notify each individual in the pool of the receipt of a request for review to confirm availability and identify any potential or actual conflicts of interest. The notice may include the general timing for a review, the names of the affected applicants or Member(s), and a brief description of the penalty or decision.
- **10.5.1.2.** Within three (3) Business Days of INDYCAR's receipt of a request for review, INDYCAR shall provide in writing to the party requesting the review the names of three (3) review facilitators from the above list. INDYCAR shall determine the three (3) review facilitators based upon the type of penalty (e.g., technical regulation, sporting regulation); the particular specialization or expertise, availability and conflicts of interest of the review facilitators; and such other factors as may indicate the overall ability of the review facilitator to assist in the review.
- **10.5.1.3.** Within one (1) Business Day of the party's receipt of the three (3) review facilitators from INDYCAR, the party requesting the review shall provide via email to the review administrator, the name of one (1) review facilitator. In the event there are multiple parties submitting a review for the same violation of these Rules, the party submitting the first request for review actually received by INDYCAR shall select the review facilitator for and on behalf of such party and all other parties requesting a review.
- **10.5.1.4.** Within one (1) Business Day of INDYCAR's receipt of the one (1) review facilitator from the party requesting the review, INDYCAR shall provide in writing to the party requesting the review confirmation of the status of the review facilitator.
 - **10.5.1.4.1.** If INDYCAR confirms the review facilitator is available, INDYCAR shall decide upon an acceptable date for the review meeting.
 - **10.5.1.4.2.** If INDYCAR confirms it was unable to contact the review facilitator or INDYCAR contacted the review facilitator, but the review facilitator was unavailable or had a conflict of interest, the party requesting the

review shall provide in writing to INDYCAR the name of an alternate one (1) review facilitator from the same list of three (3) facilitators initially provided within one (1) Business Day of receipt of INDYCAR's confirmation. If each of the three (3) individuals could not be contacted, were unavailable and/or had conflicts of interest, the review administrator will notify the party submitting the review in writing of INDYCAR's three (3) alternate individuals in the pool. This process shall continue until such time as a review facilitator has been selected.

- **10.5.2.** Once the review facilitator has been selected, the review administrator provides the review facilitator with the Rules and all applicable bulletins to date. Once the review facilitator has entered into the confidentiality agreement, the review administrator provides the review facilitator with non-public information and documents concerning the review.
- **10.5.3.** If the review facilitator decides the request for review did not adhere to the Rules, the request for review may be dismissed, disregarded as having never been submitted, and the review facilitator may disallow any new submission of the same issue for review.
- **10.5.4.** If the review facilitator decides the request for review adhered to these Rules, the review meeting will be conducted within ten (10) Business Days of the issuance of the penalty with the review meeting date during the time period to be determined by INDYCAR.
- **10.5.5.** The review meeting will be conducted by a review facilitator at the INDYCAR offices located in Indianapolis, Indiana. If the parties and the review facilitator mutually agree, they may decide upon a location other than the INDYCAR offices in Indianapolis, Indiana and/or another location than Indianapolis, Indiana.
- **10.5.6.** The review will take place in the form of a meeting conducted by the review facilitator. The review meeting will be either an in-person meeting or via telephone or video conference. In the event the parties and review facilitator cannot agree on whether the meeting will be in-person, via telephone or via video conference, the review shall be an in-person meeting. The review meeting will not proceed for more than a single day and will not be more than four (4) hours during this day, unless agreed to by the review facilitator and all parties.
- **10.5.7.** The review meeting is not open to the public and admittance is limited to INDYCAR, the party/parties requesting the review and its/their representative(s), and the review facilitator. INDYCAR and the party/parties requesting the review may not appear at the review meeting through or accompanied by legal counsel. Within two (2) Business Days prior to the review meeting, INDYCAR and each party seeking a review shall provide the review facilitator with: i) the names, titles and contact information of all attendees to the review meeting and the purpose for which each will be present at the review meeting, and ii) a summary of its position not to exceed five (5) double spaced pages in length, not including supporting documentation. The total number of attendees at the review meeting is limited to three (3) per party. INDYCAR and each party requesting the review shall have at least one (1) attendee with full authority to reach a resolution of the penalty at the review meeting. The review facilitator does not exchange position summaries with the other parties and/or INDYCAR unless the parties and INDYCAR mutually agree.
- 10.5.8. The review meeting is limited to the issues raised in the written request(s) for review.
- **10.5.9.** Except as otherwise provided in <u>Rule 1.1.3.3.</u>, the review and all discussions, information and documents concerning the review and at the review meeting are confidential and the review facilitator, INDYCAR, the party/parties requesting the review and all present at the review meeting or in possession or control of such documents and information shall maintain and agree to maintain such confidentiality by entering into a confidentiality agreement supplied by INDYCAR prior to the commencement of the review meeting; provided, however, the review facilitator shall enter into the confidentiality agreement prior to receiving any information and documents concerning the review. Any party that does not timely enter into the confidentiality agreement provided by INDYCAR will not be permitted to participate in the review meeting and such party will no longer have a right to have the penalty reviewed and appealed.

- **10.5.10.** Consistent with the informal and collaborative nature of the review meeting, there shall be no transcript or recording of the meeting and the review facilitator will determine the format. Notwithstanding the foregoing, the format shall include the following components at a minimum:
 - **10.5.10.1.** At the beginning of the review meeting, the participants shall assemble in one room for a joint opening session. The parties shall identify the participants in the review. The review facilitator shall review the administrative details of the review meeting.
 - **10.5.10.2.** The review facilitator shall decide the number, duration, and participants involved in each additional session during the remainder of the review meeting.
 - **10.5.10.3.** Prior to the conclusion of the review meeting, the review facilitator shall assemble only the representatives with full authority from each party for a joint session in one room to reconsider positions and discuss alternatives.
- 10.5.11. At the conclusion of the review meeting:
 - 10.5.11.1. The review facilitator shall provide her/his thoughts concerning the penalty and whether the penalty should be upheld, overturned or modified and, if modified, provide a recommended modification. Any decision or recommendation by the review facilitator as part of the review process is not binding upon INDYCAR or the party/parties requesting the review and is confidential and subject to the confidentiality agreement set forth in this Rule

 12. The review facilitator shall allocate the reasonable costs and expenses of the review facilitator amongst INDYCAR and each party submitting a review shall bear their own fees, costs and expenses.
- **10.5.12.** During the review meeting and through the conclusion of the appeal process, if applicable, the review facilitator shall not be employed by or on behalf of or otherwise affiliated in any other capacity with INDYCAR and/or any of the parties to the review and/or appeal; however, the review facilitator may continue to serve in his/her capacity as a review facilitator and/or appeal panel member for other requests for review and/or appeal.
- **10.5.13.** During the review process, the review facilitator must strive to uphold the integrity of INDYCAR, the IndyCar Series, and the Events. At all times, the review facilitator must be professional, courteous, and independent.
 - **10.5.13.1.** The review facilitator has a duty to disclose any potential or actual conflicts of interest that may exist or arise with regards to any of the review participants.
 - **10.5.13.2.** Any appearance of impropriety will not be allowed and may result in removal of a review facilitator from a review and/or from the list of possible review facilitators in these Rules.
 - 10.5.13.3. The review facilitator should refer any media inquiries to the review administrator.
 - **10.5.13.4.** The review facilitator must thoroughly review the submissions and familiarize himself/herself with the issues during the review; however, the review facilitator must not perform any independent review or research and/ or communicate in any manner about the subject matter of the review by or amount any of the review participants outside of the review process. The review facilitator is expected to vigorously apply the facts of the Rules in order to reach a thorough, thoughtful, and fair decision.
- **10.5.14.** After the conclusion of the review meeting, the review facilitator shall destroy any and all notes, records and/or other electronic and hard copy documents received regarding the review.
- **10.5.15.** Within two (2) Business Days of the review meeting, INDYCAR and each party submitting review shall pay the review facilitator their respective share of the review facilitator costs and expenses.

10.6. Compliance with Procedures

10.6.1. Any request for review that fails to comply with any of the foregoing requirements will be dismissed with prejudice and disregarded as having never been submitted. Any error not specifically raised in the request for review is waived.

11. APPEALS

11.1. Introduction

11.1.1. Except as expressly provided in these Rules, the exercise of judgment by Officials during an Event issuing a penalty or otherwise shall not be appealed and the decision of Officials is final and binding. By submitting a membership application and in consideration of receiving the numerous benefits available, each Member agrees that the final and binding decisions of Officials are non-litigable. Each Member agrees to abide by the decision of the appeal panel. No court action of any kind may be taken by any Member. Further, there is no right of review or appeal of any INDYCAR decision or penalty to FIA and/or ACCUS-FIA and/or any other third party including the FIA International Court of Appeals and ACCUS-FIA National Court of Appeals, even if an Event is listed by ACCUS-FIA and/or another ASN on the FIA international calendar. If a Member initiates or participates in litigation in violation of these Rules, all membership privileges may thereupon be suspended and is subject to the provisions of Rule 2.8 of these Rules.

11.2. When is an Appeal Allowed

11.2.1. Only penalties satisfying the following requirements may be appealed: penalties subject to review under <u>Rule 12</u> of these Rules, penalties that have completed the review process under <u>Rule 12</u> of these Rules, and penalties that are the subject of a request for appeal in compliance with this <u>Rule 11</u>. An applicant or Member may not request appeal of the existence or wording of a Rule and/or the non-imposition of a penalty.

11.3. Who May Request an Appeal

11.3.1. Only the party/parties satisfying the following requirements may appeal a penalty: party/parties that originally submitted the penalty for review under <u>Rule 12</u> of these Rules, and parties that have participated in the review process under <u>Rule 12</u> of these Rules, including entering into the confidentiality agreement.

11.4. Submission of Appeals

- 11.4.1. If an appeal is permitted under these Rules, an appeal shall be in writing and include the following:
 - a) Clearly state clearly state the errors claimed.
 - b) Each claimed error must be stated in specific rather than general terms and must be accompanied by a written statement of the facts and grounds upon which it is based.
 - c) Designate a single point of contact for the party submitting the appeal and contain the name, address, e-mail and telephone number for this person who will be the only point of contact concerning the appeal with respect to such party.
- **11.4.2.** The request for appeal must be emailed within three (3) Business Days after the conclusion of the review meeting set forth in <u>Rule 10</u> of these Rules (not including the day of the review meeting). Appeals should be emailed to <u>rules@indycar.com</u>.
- **11.4.3.** If INDYCAR decides a request for appeal does not adhere to these Rules, it may be dismissed and treated as if it were not submitted. If the three (3) Business Days have passed, a new request for appeal may not be submitted. If the three (3) Business Days have not passed, a new request for appeal may be submitted.
- **11.4.4.** If INDYCAR decides a request for appeal adheres to these Rules, INDYCAR specifies an individual to serve as appeal administrator to assist the appeal party, INDYCAR and the appeal panel chair with any and all administrative aspects of the review. The appeal administrator is not involved in any substantive manner in the appeal. During the

appeal process, the appeal administrator is the only point of contact for the appeal party, INDYCAR, and the appeal panel chair regarding the administrative aspects of the appeal process.

11.5. Appeal Process and Hearing

- 11.5.1. An appeal is intended to be formal, as compared to the informal review process.
- **11.5.2.** Except as otherwise provided in <u>Rule 1.1.3.3.</u> the appeal and all discussions, information and documents concerning the appeal and at the appeal hearing are confidential and the appeal panel, INDYCAR, the party/parties submitting the appeal and all present at the appeal hearing or in possession or control of such documents and information shall maintain and agree to maintain such confidentiality by entering into a confidentiality agreement supplied by INDYCAR prior to the commencement of the appeal hearing; provided, however, each member of the appeal panel shall enter into the confidentiality agreement prior to receiving any information and documents concerning the appeal. Any party that does not timely enter into the confidentiality agreement will not be permitted to participate in the appeal and such party will no longer have a right to an appeal of the penalty.
- 11.5.3. There shall be no transcript or recording of the appeal hearing.
- **11.5.4.** The appeal must contain reference to the specific action by Officials from which an appeal is taken, the date of the occurrence, the reasons for the appeal, and the relief requested. The appeal must be accompanied by copies of all written documents pertaining to the appeal, such as the written review request submitted under <u>Rule 10</u> of these Rules, responses, rulings and declarations. The appeal must clearly and explicitly state the basis of the appeal.
- **11.5.5.** The appeal will be conducted by an appeal panel. The appeal will be heard by an appeal panel comprised of three (3) of the panel members listed below. In the event there are multiple parties submitting an appeal for the same penalty, the party submitting the first appeal actually received by INDYCAR shall choose the appeal panel for and on behalf of such party and all other parties requesting an appeal.

Kevin Miller Lyn St. James

Bill Baten Vicki O'Connor

Billy Boat Chris Paulsen

Bill Bock Robin Pemberton

Frank Pulice Dan Cotter

Buddy Rice John Darby

Gary Roberts Rollie Helmling

John Schneider Victor Lichtenberg

- **11.5.6.** Upon receipt of a request for appeal, the appeal administrator will notify each individual in the pool of the receipt of a request for appeal to confirm availability and identify any potential or actual conflicts of interest.
- 11.5.7. Within three (3) Business Days after receiving a written appeal, the appeal administrator shall conduct a conference call with INDYCAR and the person designated as the only point of contact for the party filing an appeal, to choose the three (3) members of the appeal panel. During this conference call, INDYCAR and the party submitting the appeal shall be ready and have full authority to choose the three (3) member appeal panel. The appeal administrator shall inform INDYCAR and the appeal party of any individuals in the pool with confirmed unavailability and/or potential or actual conflicts of interest. The party filing the appeal shall choose an appeal panel member from above first. Next, INDYCAR shall choose an appeal panel member from the remaining possible appeal panel members above. The third member of the appeal panel will be chosen by agreement of INDYCAR and the party appealing. If INDYCAR and the party choosing the third appeal panel member cannot agree on the third appeal panel member, three (3) potential appeal panel members will be randomly chosen by INDYCAR from the remaining potential appeal panel members in Rule 11.5.5 above. The party choosing the third appeal panel

member shall strike one potential appeal panel member from this list, then INDYCAR shall strike one potential appeal panel member from this list, and the member remaining is the third member of the appeal panel and the chair of the appeal panel. The chair of the appeal panel, which is the third appeal panel member chosen, is responsible for coordinating with the other appeal panel members and being the only contact between the appeal panel and INDYCAR and the party/parties submitting the appeal regarding all aspects of the appeal and appeal hearing. If one or more of the three (3) individuals could not be contacted, were unavailable and/or had conflicts of interest, the appeal administrator shall conduct a second (2nd) conference call with INDYCAR and the appeal party for INDYCAR and the appeal party to choose the individuals on the appeal panel from the remaining individuals in the pool.

- **11.5.8.** Once the three (3) member appeal panel is chosen, the appeal panel shall govern the appeal process and conduct an appeal in-person hearing. Any direction, decision, determination or act by the appeal panel shall be made by a majority vote of the three (3) members of the appeal panel.
- **11.5.9.** During and through the conclusion of the appeal process, the appeal panel members shall not be employed by or on behalf of or otherwise affiliated in any other capacity with any of the parties to the review and/or appeal; however, the appeal panel members may serve in his/her capacity as a review facilitator and/or appeal panel member for other requests for review and/or appeal.
- **11.5.10.** During the appeal process, the appeal panel must strive to uphold the integrity of INDYCAR, the IndyCar Series, and the Events. At all times, the appeal panel must be professional, courteous, and independent.
 - **11.5.10.1.** The appeal panel has a duty to disclose any potential or actual conflicts of interest that may exist or arise with regards to any of the appeal participants.
 - **11.5.10.2.** Any appearance of impropriety will not be allowed and may result in removal of an appeal panel member from an appeal and/or from the list of possible appeal panel members in these Rules.
 - 11.5.10.3. The appeal panel members should refer any media inquiries to the appeal administrator.
 - **11.5.10.4.** Each member of the appeal panel must thoroughly review the submissions and familiarize himself/ herself with the issues during the appeal; however, the appeal panel must not perform any independent review or research and/or communicate in any manner about the subject matter of the appeal by or amount any of the appeal participants outside of the formal appeal structure. The appeal panel members are expected to vigorously apply the facts of the Rules in order to reach a thorough, thoughtful, and fair decision.
- **11.5.11.** Once the appeal panel has been selected, the appeal administrator provides the appeal panel chair with the Rules and all applicable bulletins to date. Once each appeal panel member has entered into the confidentiality agreement, the appeal administrator provides the appeal panel chair with non-public information and documents concerning the appeal.
- 11.5.12. The appeal panel must decide if the request for appeal adheres to these Rules.
- **11.5.13.** If the appeal panel decides the request for appeal did not adhere to these Rules, the request for appeal may be dismissed, disregarded as having never been submitted, and the appeal panel may disallow any new submission of the same issue. The appeal panel must still issue a confidential written decision to the appeal party and INDYCAR.
- **11.5.14.** If the appeal panel decides the request for appeal adhered to these Rules, the appeal hearing shall be concluded within seven (7) Business Days of the submission of the appeal. If the parties and the appeal panel are unable to decide upon an acceptable date during the seven (7) Business Day period, the appeal panel shall decide upon a date within three (3) Business Days after such seven (7) Business Day period (e.g. appeal panel could choose the 8th 10th Business Day) and such decision is final and binding on the parties.
- **11.5.15.** The appeal panel shall establish the timing and process for each party to submit a written statement concerning the appeal and any supporting documentation; the exact time of the appeal hearing; the duration of the

appeal hearing; and who may attend the appeal hearing. INDYCAR and the party/parties requesting the appeal shall be permitted to appear at the appeal hearing through or accompanied by legal counsel. Notwithstanding the foregoing, the timing and process shall include the following components at a minimum:

- **11.5.15.1.** Within two (2) Business Days prior to the appeal hearing, INDYCAR and each party seeking an appeal shall provide the appeal panel with:
 - a) The names, titles and contact information of all attendees to attend the appeal hearing and the purpose for which each present at will be the appeal hearing, and
 - b) A summary of its position not to exceed ten (10), double spaced pages in length, not including supporting documentation.

The appeal panel does not exchange position summaries with the other parties and/or INDYCAR unless the parties and INDYCAR mutually agree.

- **11.5.15.2.** The appeal panel shall conduct the hearing in the following manner. Prior to opening statements or the submission of proof by the party requesting the appeal, the appeal panel may request that INDYCAR submit an explanation of the basis of its decision.
 - **11.5.15.2.1.** Opening Statements The parties to the proceedings will be permitted to make opening statements, with the party requesting the appeal making the first opening statement. Each party shall state the issue(s) before the appeal panel and a brief summary of the position of the party with respect to such issue(s).
 - 11.5.15.2.2. Order of Proof The evidence shall be received by the appeal panel in the following order:
 - **11.5.15.2.2.1.** The party requesting the appeal shall first submit and present evidence in support of the appeal. Upon the completion of direct examination, each witness shall be subject to cross-examination by the other parties and by the appeal panel.
 - **11.5.15.2.2.2.** INDYCAR and the other parties shall then be permitted to present evidence, and any witnesses presented shall be subject to cross-examination by the party requesting the appeal, by other parties, and by the appeal panel.
 - **11.5.15.2.2.3.** Closing Arguments Upon completion of the evidentiary portion of the hearing, all parties, beginning with the party requesting the appeal, may make closing arguments.
- **11.5.16.** The appeal hearing will be conducted at the INDYCAR offices located in Indianapolis, Indiana. If the parties and the appeal panel mutually agree, they may decide upon a location other than the INDYCAR offices in Indianapolis, Indiana and/or another location than Indianapolis, Indiana.
- **11.5.17.** The appeal hearing is not open to the public and admittance to the hearing is by invitation of the appeal panel and can be revoked. The appeal panel shall identify the parties and other necessary participants in the proceedings. Each party is permitted a maximum of three (3) attendees at the appeal hearing, including legal counsel, but not including any Members or other witnesses appearing at the request of the appeal panel. INDYCAR and each party requesting the appeal shall have at least one (1) attendee with full authority at the appeal hearing. The appeal panel may summon any Member to testify at the hearing. If a Member is called as a witness and fails to appear, INDYCAR may revoke the Member's License and/or membership and/or otherwise penalize the Member.
- 11.5.18. The hearing shall be confined to the errors claimed in the appeal and evidence relevant to those errors.
- **11.5.19.** The appeal panel shall not be limited to the technical common law rules of evidence required in judicial proceedings, but shall be satisfied the evidence submitted is of a type on which the appeal panel can rely. The appeal panel may exclude irrelevant, immaterial, or unduly repetitious evidence.

- **11.5.20.** All parties to the proceedings shall be permitted to present and cross-examine witnesses and to submit evidence, both oral and documentary. The burden of proof shall remain at all times on the party/parties submitting the appeal.
- **11.5.21.** The decision of the appeal panel is final and binding on INDYCAR and each party submitting an appeal. An appeal panel decision may not include the re-drafting of a Rule and/or a Penalty Guideline. The appeal panel shall issue a confidential written determination of the appeal within three (3) Business Days of the conclusion of the appeal hearing and this written determination is subject to the confidentiality agreement set forth in this <u>Rule 11</u>. After the conclusion of the appeal hearing, the appeal panel shall destroy any and all notes, records, and/or other electronic and hard copy documents received regarding the appeal.
- **11.5.22.** The appeal panel shall allocate the reasonable costs and expenses of the appeal panel amongst INDYCAR and each party submitting an appeal of the penalty. INDYCAR and each party submitting an appeal shall bear their own attorneys' fees, costs and expenses. Within ten (10) Business Days from the appeal panel's decision, INDYCAR and each party submitting an appeal shall pay the appeal panel their respective share of the remaining appeal panel costs and expenses.

11.6. Compliance with Procedures

11.6.1. Any appeal that fails to comply with any of the foregoing requirements will be dismissed with prejudice and disregarded as having never been submitted. Any error not specifically raised in the appeal shall be deemed to have been waived.

CHAMPIONSHIP AND POINTS

12.1. Championships and Point Standings

- **12.1.1.** Andersen Promotions recognizes several different types of championships, including, without limitation, Team and Driver. To facilitate competition for these championships, Officials calculate and publicize complete rankings of all participating Teams and Drivers following the completion of each Race. Unless otherwise stated, all scheduled Qualifications and Races shall offer championship points.
- 12.1.2. Competitors earn Team Championship points for their top two finishing Cars in each Race.

12.2. Crediting of Points

12.

- **12.2.1.** Andersen Promotions has established the following system under which eligible Entrants and Drivers may accumulate points.
- **12.2.2.** Team points will be credited only to an Entrant holding a valid Entrant's License and having registered for the Team Championship at the time of the Event.
- 12.2.3. Driver points will be credited only to Drivers holding a valid Driver License at the time of the Event.
- 12.2.4. Teams and Drivers earn a minimum of one (1) point for starting a Race.
- **12.2.5.** Entrants and Drivers displaying the mandatory award sponsors and otherwise complying with the Rules may be eligible to earn points and awards.
- **12.2.6.** If a Car participates in practice and/or Qualifications, but is unable to start a Race, the Driver and/or the Entrant shall receive half of the points the Car would have received for the Race had the Car started the Race ("non-starting Cars"). Officials shall determine whether a Car is deemed to have participated for purposes of this Rule.

12.3. Ties

- **12.3.1.** At the end of the season, Entrant and Driver with the highest number of ranking points respectively shall be declared the Champion. In the case of a tie in the championship, Officials will determine the champion based on the most first place finishes. If there is still a tie, Officials will determine the champion by the most second place finishes, then the most third place finishes, etc., until a champion is determined. If there is still a tie, the finishing positions of the last Race shall be the determining factor.
- **12.3.2.** Officials will apply the same system to other ties in the rankings at the end of the season and at any other time during the season.

12.4. End of Season Awards

12.4.1. Officials reserve the right to establish end of season awards based upon points.

12.5. Championship Points

12.5.1. Entrant/Driver Race Points – Officials will award points pursuant to finishing positions as shown below.

12.5.2. Points are awarded for pole position of all races except when qualifying did not occur and the lineup is based on points. Points are awarded as follows:

	Driver Points - Ro		
Position	Points	Position	Points
1	30	11	10
2	25	12	9
3	22	13	8
4	19	14	7
5	17	15	6
6	15	16	5
7	14	17	4
8	13	18	3
9	12	19	2
10	11	20+	1
Additional Points			
Pole Position = 1 Point			
Most Laps Lead = 1 Point			

	Driver Points - Ovals		
Position	Points	Position	Points
1	45	11	15
2	38	12	14
3	33	13	12
4	29	14	11
5	26	15	9
6	23	16	8
7	21	17	6
8	20	18	5
9	18	19	3
10	17	20+	2

Additional Points

Pole Position = 1 Point

Most Laps Lead = 1 Point

	Team		
Position	Points	Position	Points
1	22	6	8
2	18	7	6
3	15	8	4
4	12	9	2
5	10	10+	1

Single Car Teams will receive a 3 point bonus as an equivalency to multi-car Teams

13. AWARDS

13.1. Approval

13.1.1. The distribution of all awards must be approved by the Officials.

13.2. Awards

13.2.1. Officials shall determine the eligibility, nature and amount for Each Event and end of Season payouts.

13.3. Withholding

- **13.3.1.** Offset Andersen Promotions shall have the right to offset any amounts owed by a Member to INDYCAR, Andersen Promotions, an Event Promoter or Manufacturer against any award otherwise payable to the Member or the Members Entrant.
- **13.3.2.** Review If a review is lodged affecting the distribution of an award, all awards shall be forwarded to Andersen Promotions for hold.

14. TECHNICAL SPECIFICATIONS

14.1. General

- **14.1.1.** All components provided by Andersen Promotions, an authorized Manufacturer or supplier must be used as supplied unless otherwise stated in these Rules or in update bulletins.
- **14.1.2.** All original Manufacturer identification markings and/or tags must remain as supplied. Visible identification markings may be removed from parts fitted with RFID tags.
- 14.1.3. The only binding means of communication shall be in writing.
- **14.1.4.** Cooling No form of artificial cooling may be used for any system, except towels applied to bodywork and Driver specific cooling devices.
- 14.1.5. No form of heating may be used when the Car is outside its assigned tent or garage area.
- **14.1.6.** Officials reserve the right to seal any component(s).

14.2. Technical Inspection

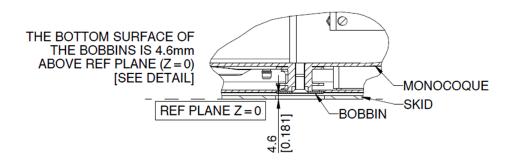
- **14.2.1.** The responsibility remains with the Entrants to make sure Cars conform to all Rules throughout the course of an Event. All Cars <u>must</u> complete safety inspection to be eligible for an Event. Officials may inspect any item for conformity of the Rules at any time. Completion of technical inspection does not guarantee against disqualification or other penalty if any violation is discovered during any subsequent inspection(s).
- **14.2.2.** Officials may impound or confiscate a Car or part, equipment, item or data associated with a Car, Driver, Entry or Entrant.
- **14.2.3.** Officials may examine any Car involved in a crash and determine if it's suitable for further participation and all Members shall cooperate in the preparation of damage reports, photographs, videotaping and impact recording analysis. Any Entrant refusing to follow the prescribed inspection procedures may be penalized and/or excluded from further participation in an Event.
- **14.2.4.** A copy of all required inspection forms must be submitted to Officials for approval before the designated Events.
- **14.2.5.** Decorative plating may not be used on any parts requiring magnetic inspection. All parts, which are painted, plated or coated, must be stripped before nondestructive testing and inspection.

14.3. Reference Planes and '0' Coordinates

- **14.3.1.** All measurements shall be taken from the reference plane or '0' coordinates. These shall be established by the chassis Manufacturer and cannot be modified.
- **14.3.2.** References will be measured using the following coordinates:

- (a) "X" coordinate is defined as the relative location fore and aft of the front face of the forward chassis bulkhead "0" being the forward face of the chassis. These measurements will be referred to as +/- the "X" line, with (- negative) being forward.
- (b) "Y" coordinate is defined as the location laterally from the chassis centerline, Drivers right hand side being positive.
- (c) "Z" coordinate is defined as the location vertically with the bottom of the skid being 0 'Z', + (positive) being up.

The bottom of the skid establishes the "chassis reference plane." For purpose of technical inspection, the chassis reference plane will be three hard points each manufactured from steel bolted to the bottom of the chassis in the specified locations. These hard points shall be Ø1.500 inches and may not be shimmed or machined. Monocoque damage will be addressed on a case by case basis.

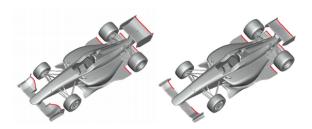


14.4. Vehicle Dimensions

- **14.4.1.** All dimensions must remain within the following tolerances.
 - (a) Maximum underwing width 73.0 inches
 - (b) Maximum body width 63.0 inches
 - (c) Wheelbase 116.5 117.5 inches
 - (d) Length Road, street and short oval 196.929 +/- 0.250 inches
 - (e) Length Speedways 191.454 +/- 0.250 inches
 - (f) Maximum offset .500 inches
- **14.4.2.** Front and Rear Track width and offset may be adjusted by shimming behind inboard suspension blocks to a maximum of 0.187" and provided the Manufacturer supplied mounting blocks and gearbox studs are used.

14.5. Wings & Wickers

- **14.5.1.** Both front and rear wings must be run at all events in the locations and configuration determined by the chassis Manufacturer. All references are measured from the reference plane, which is considered "0". All wickers, regardless of location must be made from either carbon or aluminum and be a maximum 0.063" thick.
- **14.5.2.** Wickers may only be added to the locations shown in the diagram below.



- **14.5.3.** All wickers with the exception of the diffuser exit wicker must be a maximum of 1.00" tall (measured as total height) and fastened 90 degrees to the mounting surface. Front mainplane wickers must not extend inboard more than 16" measured from the outer end of the mainplane. Saw tooth wickers or wickers on the underside of mainplanes/flaps are not permitted. Nut plates may be added.
- **14.5.4.** The rear vertical face of all wickers must be positioned on the trailing edge of the front and rear wing elements and mainplanes (when applicable).
- **14.5.5.** No painting, adhesive film or any other substance may be applied to the underside of the mainplanes. Any paint or adhesive film (except for decals) applied to the top surface of the mainplane or flap must extend to the trailing edge.
- **14.5.6.** The front and rear pillars/mounts may not be modified in any way. These must be used as designed for the specific tracks.
- 14.5.7. Front wing rub strips are optional. Rub strips must have a 1.75" radius on the internal leading edge.

14.6. Wing Dimensions

- **14.6.1.** All dimensions are measured in inches and must remain within the following tolerances.
- **14.6.2.** Front wing mainplane angle:
 - (a) Road, street and short ovals +4° +/-1° (nosed down)
 - (b) Speedways $+2^{\circ}$ to $+6^{\circ}$ (nose down)
- 14.6.3. Front wing mainplane minimum height:
 - (a) Road, street and short ovals 2.50"
 - (b) Speedways 3.30"

- **14.6.4.** Front wing endplate minimum height:
 - (a) Road, street and short ovals 2.50"
 - (b) Speedways 3.30"
- **14.6.5.** Front wing overall width:
 - (a) Road, street and short ovals 70.866" +/- 0.250
 - (b) Speedways 72.441" +/- 0.250"
- 14.6.6. Rear wing mainplane angle:
 - (a) Road, street and short ovals -2 to -2.5" (nose up)
 - (b) Speedways $+3^{\circ}$ (nose down) to -5.5° (nose up). The wing must be run as designed by Dallara.
- **14.6.7.** Rear wing mainplane height (measured at the trailing edge):
 - (a) Road, street and short ovals 28.15" +/- 0.250"
 - (b) Speedways 28.15" +/- 0.250"
- **14.6.8.** Rear wing endplate height:
 - (a) Road, street and short ovals 36.60" +/- 0.250"
 - (b) Speedways 30.20" +/- 0.250"
- **14.6.9.** Rear wing overall width:
 - (a) Road, street and short ovals 35.236 +/- 0.250"
 - (b) Speedways 35.236" +/- 0.250"

14.7. Underwing

- 14.7.1. The minimum underwing height as measured from the reference plane is 1.250"
- **14.7.2.** The underwing is subject to deflection test loading of 50 pounds with a maximum deflection of 0.100"
- **14.7.3.** The minimum diffuser exit height is 6.40". The maximum diffuser exit height (including wicker) is 8.00". The diffuser exit wicker may span inboard a maximum of 17 inches, measured from the outer vertical face of the underwing. No additional wickers are permitted in this area.
- **14.7.4.** The underwing strakes must be used at all times without modification unless otherwise determined by the Technical Director.
- **14.7.5.** Other than clear coat, no painting, tape, silicon, adhesive film, or any other substances are permitted on the bottom surface of the underwing except for filling or covering holes.

14.8. Skids

14.8.1. The skid plate must be used without modification as provided by the chassis Manufacturer. The only approved skid materials are aluminum or phenolic and shall be a minimum thickness of (0.120" or 3mm) when new.

14.9. Aerodynamics

14.9.1. The only permitted configurations are as defined by the IL15 aero manual. In the case of any conflict between the aero manual and Rule Book, the Rule Book shall take precedence.

- **14.9.2.** Attachments or devices that are moveable or adjustable while the Car is in motion and which may affect airflow are not permitted.
- 14.9.3. All wings and bodywork are subject to deflection loads of 50 pounds with a maximum deflection of 0.100"

14.10. Bodywork

- 14.10.1. Fit and finish is permitted provided it does not alter the components internal or external shape in any way.
- **14.10.2.** The leading edge of the sidepods are an integral part of the crash structure and must remain fastened to the chassis. Additionally, they may be glued if desired.
- **14.10.3.** It is permitted to drill two (2), maximum diameter 0.750 inch holes in the engine cover to assist with removal of the rear wing.

14.11. Carbon Repairs

- 14.11.1. All repairs must conform to the Manufacturers original design criteria including weight +/-
- **14.11.2.** Structural Repairs Chassis, nose and attenuator structural repairs may only be made by Dallara. The Technical Director in his sole discretion will determine whether components are repairable or must be replaced, based on specific safety criteria. Once any component is deemed non-repairable the RFID tag number will be deleted from the Team's inventory and can no longer be used. The Technical passport as supplied by the chassis Manufacturer must be submitted to the Technical Director for all structural repairs.
- **14.11.3.** Repairs to other remaining body components not listed above may be performed by Teams or by alternate repair companies provided the original shape and design is maintained.
- **14.11.4.** Remanufacturing of any component around the RFID tag is not permitted. Completely re-skinning or manufacturing a new part from a mold is not permitted.
- **14.11.5.** Teams are permitted to repair or have components repaired provided they adhere to the following:
 - (a) All repairs must be declared to the Technical Director by the Team or repair station before returning to use
 - (b) All repairs are subject to the Technical Director's approval.

14.12. Fasteners

- **14.12.1.** All external bodywork fasteners must be used and remain in the locations as delivered. Tape or glue is not permitted as a single source of attachment for any component.
- **14.12.2.** Tape or adhesive film may be applied to fasteners or any component surface. Apart from securing fasteners or unless expressly authorized in these Rules, it is not permitted to apply tape to cover a junction or gap between components, holes or cavities.

14.13. Inlet and Exit Panels

- **14.13.1.** Inlet blanking panels may be used, provided they are securely fastened. The panels may have a rearward facing return only, up to a maximum of 1.5 inches. The location of fasteners and associated brackets are free provided their sole function is to hold the blanking panel.
- **14.13.2.** The radiator exit panels must remain as supplied without modification. Louvers must be used at all Road and Street course Events and taping or covering the louvers (top or bottom) is not permitted. It is permitted to tape the speedway solid exit panel seams (top or bottom).

14.14. Radiators/Coolers

14.14.1. Only the approved radiators and intercooler supplied by Dallara may be used without modification. Water, oil, and intercooler pipes must remain as supplied except for fitment related issues. Bungs for heaters or bleed fittings may be welded to the inlet and outlet pipes. Such items are not permitted on the radiator or components supplied by the engine Manufacturer.

14.15. Mirrors

14.15.1. Mirrors must be used as supplied by the chassis Manufacturer. The minimum glass dimension is 6.00" wide by 2.00" tall.

14.16. Windscreen

14.16.1. All windscreens must not exceed a dimension of 20.00" in length or 0.125" thick. The maximum height must not exceed 1.000" measured to the monocoque on the forward face.

14.17. Pedals

- **14.17.1.** The pedal mounts have multiple adjustments and can be moved as required. Any changes to the pedal pads must be approved by the Technical Director before use.
- 14.17.2. Brake Pedal clevis material must be steel, approved part numbers are: IL1535048 and IR1235087

14.18. On Board Fire Equipment

14.18.1. Fire equipment provided by the chassis Manufacturer must remain in the designated locations and be as supplied by the Manufacturer. The onboard extinguisher must remain active.

14.19. Electronics

14.19.1. Cosworth is the only approved electronics supplier unless otherwise specified. This includes but is not limited to chassis and data looms, data acquisition, sensors, steering wheel and display. Components must be used as supplied without modification. Loom drawings are provided for the sole purpose of diagnosing issues, and all major repairs to the looms must be made by Cosworth.

- **14.19.2.** The mandatory sensor list for use during race events is as follows:
 - (a) Damper pots (4)
 - (b) Steering pot
 - (c) Wheel speed sensors (4)
 - (d) Brake pressure sensors
 - (e) Clutch pressure sensor (supplied by AER)
 - (f) Rain light
 - (g) Gear position sensor
 - (h) Gearbox temperature sensor
 - (i) Shift accumulator pressure sensor
 - (j) Throttle position sensor
- 14.19.3. Additional sensors approved for use during Race Events and Open Tests are:
 - (a) Pushrod load cells
 - (b) Laser ride height sensors
 - (c) 3 axis gyro
 - (d) Pitot tube and aero sensor
 - (e) Infrared brake temp sensors
 - (f) GPS (Maximum 10Hz)
 - (g) Expansion box
 - (h) Anti-roll bar position sensors
 - (i) TPMS system
 - (j) Gearbox pressure sensor
- 14.19.4. Entrant Tests have no restrictions.
- **14.19.5.** TPMS System Are recommended but not mandatory, their use is unrestricted. BERUF1 systems are the only approved supplier.
- **14.19.6.** Steering Wheel The steering wheel must be used as supplied and incorporate a quick release mechanism. Changes are not permitted to buttons, switches or paddles. The use of additional personnel grips are permitted provided they are pre-approved by the Technical Director.
- **14.19.7.** The only battery approved for used is the ML20C provided by Braille. Cutting the battery cover into 2 pieces is permitted, provided the complete cover is run at all times.
- 14.19.8. The external emergency switch must be clearly marked as outlined in the Style Guide.
- 14.19.9. Not Applicable
- 14.19.10. The chassis loom connector to the radio adapter loom may be changed to a different connector.
- 14.19.11. Audible beep shift systems are not permitted.

14.20. Car Tracking System (ICAR)

14.20.1. The Series approved Car tracking system must be installed in the designated location(s) on each Car and must be operational at any time the Car is participating in on-track events unless otherwise notified by the Series.

14.21. Telemetry

- **14.21.1.** All Entrants shall install, operate, use and permit the use of broadcast telemetry equipment, video equipment, sound equipment, data and other positioning informational systems as directed by Andersen Promotions. Entrants shall not install any of the above systems unless directed by Andersen Promotions.
- **14.21.2.** Andersen Promotions shall own all telemetry; video, sound, data and other information generated or collected as described and control the use and dissemination.

14.22. Timing Transponder

14.22.1. The timing transponder must be used in the designated location as supplied by INDYCAR. at all times.

14.23. Impact Recorders

14.23.1. All Cars must make provisions for the installation of an impact recorder.

14.24. Cameras

- **14.24.1.** All Cars must carry the wiring for television cameras and the roll hoop shroud at all times. Entrants must install television equipment as requested by Officials.
- **14.24.2.** On-board cameras must be functional and are required at all times. Camera(s) must be mounted from the roll hoop and may be mounted to the top of the attenuator; there are no additional approved mounting locations. While there are no regulations regarding camera Manufacturer, the following mounting guidelines must be adhered to:
 - (a) Cameras must be securely fastened to the satisfaction of the Technical Director
 - (b) Camera may protrude a maximum of 4 inches forward of the roll hoop, measured horizontally
 - (c) Cameras must be mounted on the centerline of the chassis, except when running a television camera they must be moved or removed so the television camera has an unobstructed view.
- **14.24.3.** Live Streaming If an Entrant wishes to live stream they must notify the Series prior, receive written approval, provide a feed to the Series as determined by Andersen Promotions and pay any associated fees.
- **14.24.4.** Andersen Promotions has the right to cease live streaming from any vehicle, at anytime, for any reason including failure to follow the procedures or poor quality. No Series sponsors are allowed to be embedded into the footage without approval. Live streaming is permitted during practices and qualifying sessions only.
- **14.24.5.** Officials may request or confiscate footage from any on-board cameras for any reason. This may include competition or technical reasons.

14.25. Suspension

- **14.25.1.** All suspension as provided by the chassis Manufacturer must be used without modification. The Dallara "FLWB Anti-intrusion Plate" must be used at all times. The configuration of the mounting blocks are a team's choice, provided only chassis manufacturer supplied components are used. The chassis Manufacturer may deem certain locations or positions unusable due to safety reasons.
- **14.25.2.** Toe, camber and castor may be adjusted, provided they are within the chassis Manufacturer's suspension specifications. When a tire Manufacturer provides a maximum camber, this will supersede the Chassis Manufacturer's maximum adjustment. The rulebook will supersede all. The mandated maximum cambers are as follows:

14.25.2.1. Speedways

```
Indianapolis - RF — 4.0 degrees
Indianapolis - RR — 2.8 degrees
```

14.25.2.2. Short Ovals

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Gateway - RF — 4.0 degrees
Gateway - RR — 2.3 degrees
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- **14.25.3**. Ride Control the use of front and rear ride control (example 3rd springs, dampers) systems are not permitted.
- **14.25.4.** Anti-Roll Bars Anti-roll bars must be used without modification as supplied by the chassis Manufacturer. Cockpit adjustable front and rear anti-roll bars have been provided and the adjusters may not be moved.
- 14.25.5. Rockers Rockers must be run as supplied by chassis Manufacturer without modification.
- 14.25.6. Steering The rack must be used as supplied by the chassis Manufacturer except:
 - (a) Steering arms and pinions may be changed with alternate approved option as provided.
 - (b) The rack bar and pinions may be de-burred, shot peened or polished.
 - (c) A 0.125" diameter hole may be drilled for purposes of installing an alignment pin.
- **14.25.7.** Weight jackers Weight jackers are not permitted.
- **14.25.8.** Uprights Uprights must be used as specified by the chassis Manufacturer. Only the approved bearings and seals may be used without modification. Shimming for the sole purpose of preload adjustment is permitted. Hybrid or ceramic bearings are not approved for use. The only approved bearing and seals are:
 - (a) Front wheel bearings SKF #BH197
 - (b) Rear wheel bearings SKF #BAH-0183

14.26. Brakes and Ducts

14.26.1. Performance Friction is the only approved supplier for calipers (including seals and pistons) rotors and pads.

- **14.26.2.** Rotor specifications are 309mm x 28mm with a 17.5mm air gap which must remain open. Slots on the face of the disc must remain visible.
- **14.26.3.** Brake calipers must be used as supplied, including seals which must be used without modification. Caliper springs must remain in at all times. Any devices designed to push or pull back pistons (other than knock back springs) are not permitted.
- **14.26.4.** There are two brake pad compounds, PFC 11 & 13. Pads must be used as supplied without modification. Pads must <u>not</u> be siliconed to the pistons.
- **14.26.5.** Master cylinders are a Team-sourced option. A return spring may be installed on the master cylinder if desired.
- 14.26.6. Brake fluid is a Team sourced option although PFC #0250037/38 is recommended.
- **14.26.7.** Brake ducts The brake ducts must be used as supplied without modification. Tape or a flat panel are the only approved method for regulating airflow into the brake ducts. Flat panels may be fastened or permanently mounted provided they have no more than a 0.500 inch return, which is for the sole purpose of fastening. Brake ducts are optional for all Events.

14.27. Dampers and Springs

- **14.27.1.** The only approved damper is the Dynamic DSSV 1435 EVO. Dampers must be run without modification as supplied by the Manufacturer.
- **14.27.2.** Dampers are sealed and may only be rebuilt by the Manufacturer.
- **14.27.3.** Packers and bump rubbers are permitted.
- 14.27.4. Dampers must be externally adjustable only and cannot be adjusted by the driver.
- **14.27.5.** Hydraulic or bearing spring perches are permitted provided they are approved by the Technical Director in writing before use.
- 14.27.6. Only linear rate steel springs are permitted.
- **14.27.7.** Spring Manufacturers and rates are unrestricted.

14.28. Driveshafts & Hubs

14.28.1. Only parts provided by the chassis Manufacturer are permitted. These must be used as supplied without modification. The only approved wheel locking system is the Dallara spring loaded retractable assembly.

14.29. SWEMS

14.29.1. The energy management system components must be used as supplied, modifications of any sort are not permitted.

- **14.29.2.** Suspension The front and rear suspension restraints must consist of a minimum of three (3) SWEMS per suspension corner. The SWEMS must be mounted in the designated location. All mounting points must be free of sharp edges.
- **14.29.3.** SWEMS must be covered with an approved, protective shield made from carbon or aluminum. The maximum width of the shield (including the wishbone) must not be more than 2.400 inches.
- 14.29.4. Rear Wing The attenuator and rear wing SWEMS must be fitted as originally designed.

14.30. Wheels

14.30.1. Motegi are the only approved wheel Manufacturer permitted to supply Indy Lights Teams. Motegi wheels must be used at all times. Painting of wheels is not permitted.

14.31. Weight and Driver Equivalency Weight

- **14.31.1.** The minimum Car weight shall include all fuel, lubricants, coolants, on-board cameras, camera housings and meet the following minimums: (Driver and the Driver equivalency weight are excluded).
 - (a) Road/Street Events 1400 pounds (Road America Event 1394 pounds).
 - (b) Short Oval Events 1390 pounds.
 - (c) Speedway Events 1380 pounds.

14.31.2. Not Applicable

- **14.31.3.** The only approved ballast locations are the keel and skids.
- **14.31.4.** All Drivers will be ballasted to a weight of 165 pounds (74.8 kilograms). Any Driver weighing less must add weight (up to a maximum of 35 pounds) to the Car in the location provided by the chassis Manufacturer. This shall be known as the Driver equivalency weight. All ballast shall be installed with a Series representative present, who shall apply a seal once fitted.
 - (a) Any Driver weighing more than 165 pounds (74.8 kilograms) will be permitted to reduce the overall weight of the Car to help achieve the Driver equivalency weight.
 - (b) Drivers will be weighed at random and Teams may be requested to adjust the chassis or driver equivalency weight at any time.
 - (c) Any Entrant not following the guidelines regarding chassis and Driver equivalency weight will be subject to penalties.

14.32. Fuel & Fuel System

14.32.1. The only approved fuel is VP MS100. Fuel must be stored and used at ambient temperature and additives may not be used. Fuel is subject to testing at any time. Poor quality fuel will adversely affect engine performance and may cause severe engine damage. Detailed guidelines on correct handling and storage of fuel are provided in the AER – P63 engine user manual.

- **14.32.2.** The fuel system must remain as supplied by the chassis Manufacturer, this includes the fuel pump and filter specifications. Modifications may be made to the collector pot to allow 3 lift pumps to run simultaneously. These must be approved by the Technical Director in writing before changes are made. Fuel pickups may be moved and mounted as necessary.
- **14.32.3.** Plumbing of fuel cell lines is free provided the internal hose diameters are not changed. Each engine is provided with a proprietary fuel line which cannot be changed. This hose must remain fitted to the engine fuel rail and be connected directly to the self-sealing breakaway valve.
- 14.32.4. A lanyard, secured self-sealing breakaway valve must be used on the supply line.
- 14.32.5. It must be possible to take a sample of fuel from a car at any time during an Event.
- **14.32.6.** The only approved method for adding fuel is through a closed loop dry-break system. Drivers may remain in their cars during fueling. A crew member must be in attendance with a fire extinguisher.

14.33. Exhaust

14.33.1. The exhaust system must be used without modification as homologated by the engine manufacturer. Internal or external coatings or wraps of any kind are not permitted unless otherwise specified within these Rules. The only approved supplier is SST Technology.

14.34. Clutch Assembly

- **14.34.1.** Tilton is the only approved clutch supplier. Either a 3 or 4 plate clutch may be used. Part numbers are:
 - (a) 3 plate: #6513HSG-P (b) 4 plate: #6514HSG-P
- **14.34.2.** The clutch master cylinder is a Team sourced item the Manufacturer is not mandated.

14.35. Hoses & Fittings

- **14.35.1.** Turbo oil supply line (AN-3) and scavenge lines (AN-4 & AN-6) are AER parts. Teams must only use lines supplied by AER.
- 14.35.2. Turbo cooling lines, supply and return (AN-6) are AER parts. Teams must only use lines supplied by AER.
- **14.35.3.** Oil scavenge lines (to and from the cooler) must not be reduced in internal diameter from the original (AN-12) lines.

14.36. Gearbox & Bellhousing

- **14.36.1.** Use of the Ricardo gearbox system as supplied by the chassis Manufacturer is mandatory.
- **14.36.2.** The gearbox angle related to the reference plane is 0.00°
- 14.36.3. Coatings of any kind are not permitted.
- **14.36.4.** Remming of gearbox components is permitted.

- **14.36.5.** Life Racing (Supplied by AER) is the only approved shift mechanism.
- **14.36.6.** All six (6) speeds must remain in the gearbox during on track activity. Reverse must be functional at all events and the driver must be able to engage it from the cockpit.
- **14.36.7.** Differential The friction and drive surface stack may be rearranged, provided eight inner plates and six outer plates are used at all times. Shimming for preload purposes is permitted. The spool as delivered with the IL15 chassis must be used it must not be modified.
- **14.36.8.** Accessories Filters, screens and magnetic plugs are allowed provided they serve no other purposes.
- **14.36.9.** Bell Housing The bell housing must be used as designed and supplied without modification.
- 14.36.10. The starter motor as supplied by the chassis manufacturer is the only approved system for use.
- **14.36.11.** Gearbox heaters may be used in the Teams tent or garage area only.
- **14.36.12.** The carbon quick lift bracket supplied by Dallara is mandatory.
- **14.36.13.** The only approved ratios, ramps and final drives are as follows:

Teeth	No's	Ratio	Part N	umber
IN	OUT	Katio	IN	OUT
12	34	2.833	761283	763283
16	34	2.125	762213	763213
17	33	1.941	762194	763194
16	30	1.875	762188	763188
18	32	1.778	762178	763178
17	29	1.706	762171	763171
18	30	1.667	762167	763167
19	29	1.611	762161	763161
18	28	1.556	762156	763156
20	30	1.500	762150	763150
21	30	1.429	762143	763143
21	29	1.381	762138	763138
21	28	1.333	762133	763133
20	26	1.300	762130	763130
20	25	1.250	762125	763125
20	24	1.200	762120	763120
23	27	1.174	762117	763117
20	23	1.150	762115	763115
26	29	1.115	762112	763112
24	26	1.083	762108	763108
25	26	1.040	762104	763104
23	23	1.000	762100	763100
25	24	0.960	762096	763096
19	18	0.947	762095	763095
31	29	0.935	762094	763094
26	24	0.923	762092	763092
22	20	0.909	762091	763091
29	26	0.897	762090	763090
27	24	0.889	762089	763089
Final Drive	11 - 33		ND78963	
Diff Ramps	80 - 45		C67090	

14.37. Engine

- **14.37.1.** The engine as supplied by the Manufacturer must be used without any modification. Any variation from specifications regarding installation, oil and filters, fuel, water, turbo charger, exhaust or waste gate and boost box may result in loss of championship points and a fine not to exceed \$25,000. Teams are to follow the operating manual as provided by the Engine Manufacturer as well as adhere to the conditions set forth in the Engine Lease Agreement"
- **14.37.2.** The Series may confiscate any Engine or component(s), take fluid samples, such as oil and water or request further analysis at any time.
- **14.37.3.** The In-Season Engine Mileage allowance is 4,500 miles to include all Race Events and Series Open Tests.
- **14.37.4.** All engine changes and/or repairs must be approved by the Technical Director in conjunction with the Engine Manufacturer.
- **14.37.5.** The engines alternator and drive belts must always be connected.
- **14.37.6.** The ECU is controlled, administered and provided by the Engine Manufacturer. Any software changes will take place under the direction of the Technical Director.
- 14.37.7. Trunions are mandatory at all times

14.38. Turbo & Wastegates

- **14.38.1.** Turbo chargers are provided by the engine supplier (AER). These are the only turbo chargers approved and must be used without modification. The air inlet bellmouth is provided by the engine supplier (AER) and must remain sealed to the turbocharger.
- 14.38.2. Waste gates are to remain sealed.

15. Tires

15.1. General

- **15.1.1.** Only Tires provided by Cooper may be used. No Entrant may sell, trade or otherwise reassign Tires to any other Entrant.
- **15.1.2.** Not Applicable.
- 15.1.3. Tire pressures must comply with the Manufacturers recommended guidelines.
- **15.1.4.** Only nitrogen or compressed air may be used to inflate Tires.
- **15.1.5.** Tire warmers are not permitted.
- 15.1.6. No substance may be applied to the Tires.
- **15.1.7.** Valve stems are open provided there is no modification to the wheel.
- 15.1.8. All pressure limiting/control devices are not permitted.
- **15.1.9.** Open Tests: Each Entry is permitted the use of Tires as outlined in the <u>chart</u> below. The designated carryover set may be used during the first session of Day 1 only. It is the Team's responsibility to ensure all Tires have been scanned.
- **15.1.10.** Entrant Tests: There are no restrictions regarding Tire allotment for Entrant Test days.
- **15.1.11.** Replacement Tires: Each Entry is allotted eight (8) Tires for the season to be used as when needed for Open Tests or Race Events. The Entrant must notify the Technical Director when replacements are requested and these Tires may not be returned. Each Entry is permitted a maximum of one (1) replacement Tire per Event. Any additional replacements, such as a defect, will be handled by the Technical Director on an individual basis and will not be subject to penalty.
- **15.1.12.** Tire Allotments Road & Street Courses: Each Entry is permitted the use of Tires as identified in the <u>chart</u> below. The carryover set may be used during the first practice session only. All Tires allotted for the Event must be scanned by Officials for that Event before a Car is permitted on track. It is the Team's responsibility to ensure the Tires have been scanned. Wet Tires may be used as conditions warrant.
- **15.1.13.** Tire Allotments Ovals: Each Entry is permitted the use of Tires as outlined in the <u>chart</u> below. The carryover set may be used during the first practice session only. Cars must start the race on their qualified set of Tires, unless determined by the Technical Director due to a qualifying incident. All Tires allotted for the Event must be scanned by Officials for that Event before a Car is permitted on track. It is the Team's responsibility to ensure the Tires have been scanned.
- **15.1.14.** Carryover Tires: All carryover Tires must be a used /scuffed set. If any doubt exists regarding the state of the tires, it will be determined by the Technical Director.
- **15.1.15.** New Entry: For Teams with a new Entry a previously scanned scrubbed set from within the Team may be used as their carryover set.

VENUE	NEW TIRES	CARRYOVER SETS	CARRYOVER DESIGNATION
Circuit of the Americas Test	4	1	Any used / scuffed set is permitted
Homestead RC Test	3	1	Carryover set from COTA open test
St. Petersburg	4	1	Carryover set from Homestead open test
Circuit of the Americas	4	1	Carryover set from St Petersburg race event
Rookie Oval Test	2	0	No carryover tires
IMS RC Test	2	1	Carryover set from COTA race event
IMS Road Course	4	1	Carryover set from Indianapolis open test
IMS Oval Test	3	0	No carryover tires
IMS Oval	2	1	Carryover set from Indianapolis open test
Road America	4	0	No Carryover tires
Toronto	4	1	Carryover set from Indianapolis race event
Mid Ohio	4	1	Carryover set from Toronto race event
Gateway Test	3	0	No carryover tires
Gateway	2	1	Carryover set from Gateway open test
Portland	4	1	Carryover set from Mid Ohio race event
Laguna Seca	4	1	Carryover set from Portland race event

16. Equipment & Garages

16.1. Equipment

- **16.1.1.** All nitrogen bottles must be securely fastened and have a cage device or other container approved by the Series to protect all valves and regulators. All nitrogen bottles must be returned to the designated location at the conclusion of each on-Track Event.
- **16.1.2.** The maximum height of the scoring stand is 84.00 inches measured from the ground to the top of the stand excluding awning. The maximum surface area of an awning is 85 square feet in plan view. The Series may determine if an Entrant may use an awning at a particular facility. Scoring stands using wheels or castors must be chocked or secured from moving, and awnings, flags, umbrellas or shields may be used, provided they do not block spectator viewing. Equipment must be mobile and able to be towed to and from the Pit Lane.
- 16.1.3. Paint or other permanent markings may not be used in the garage, on the Pit Lane surface or on the pit wall
- **16.1.4.** Where permitted an Entrant may display one flag in its pit box, provided the flag is located behind the front face of the pit wall, is a maximum size of 5 ½ feet wide and 3 ½ feet high, and a maximum height of 14 feet off of the pit floor.

16.2. Garage

- 16.2.1. The Series reserves the right to assign transporter parking, garages, and pits.
- **16.2.2.** If garages are available, Entries may receive a parking space and a garage space limited by availability. The Series shall allocate a designated amount of space in the garage area for each Team.
- **16.2.3.** If garages are not available, the maximum parking space for each transporter and awning will be 90 feet x 30 feet. The Series reserves the right to limit the transporter and awning size based on available space.
- **16.2.4.** Entrant agrees to reimburse Event Promoter for the cost of repairing any damage to the garage area that occurs during occupancy by the Entrant.
- **16.2.5.** Tailgate cables are mandatory and must be approved by the Series.
- 16.2.6. Tents will not be permitted to extend beyond the rear of the trailer tailgate or front bumper of the tractor.
- **16.2.7.** During the entire Event no wall, screen or other obstruction will be permitted in the garage that obscures the Car.

16.3. Covers

- **16.3.1.** During the entire Event, blankets may only be used in the garage area, paddock area and at Technical inspection to cover front and rear suspension, engine/gearbox and radiators.
- 16.3.2. Covers over wings in the pit lane are not permitted at any time
- **16.3.3.** Rain covers may be used during inclement weather conditions.
- 16.3.4. Covers, which are placed over damaged Cars or components, are permitted.

17. SAFETY

17.1. General Safety

17.1.1. Safety Policy - While INDYCAR seeks to maintain safe conditions for Competitors and others taking into account all aspects of the Event, Members recognize that conditions may not be safe and can be affected by human error. At any Event, each Member acknowledges and agrees that racing is a hazardous activity and each Member's involvement and/or participation is with expressed assumption of this risk.

- 17.1.1. While acknowledging the inherent risk of racing to Competitors and other Members involved and/or participating in an Event, Members are personally responsible for their own safety, for the safety of each Member of Member Group and for the safety of their racing equipment. No Event Promoter, INDYCAR, or any Manufacturer, or other Member is responsible for the adequacy of any Member's racing equipment or racing activity to accomplish this purpose. No Event Promoter, INDYCAR, or any Manufacturer or other Member makes any representation, express warranty, implied warranty or covenant that safety equipment and/or personnel will prevent or reduce the Member's risk or extent of injury in any Event.
- 17.1.1.2. INDYCAR may take any action including canceling, postponing, temporarily stopping or delaying an Event, if INDYCAR determines that basic safety requires such action. INDYCAR may order off the Track any Member or Car that INDYCAR determines constitutes a hazard. INDYCAR may, prohibit any Member or Member's equipment from entering or continuing in an Event. Except as provided in Rule 10.2.1, none of such decisions during an Event may be reviewed and/or appealed. In the event a Driver is ordered off the Track due solely to driving performance, the Entrant may replace the Driver with a Driver approved by INDYCAR and continue in the Event pursuant to Rule 4.3.3.

17.2. Medical/Rescue Requirements and Injury Reports

- **17.2.1.** A Driver may be required to demonstrate ability to exit the Car to INDYCAR's satisfaction by a method as determined by INDYCAR.
- **17.2.2.** A Driver involved in a crash or injured in an incident must report to the medical center or as directed by INDYCAR / Officials and may neither continue in the Event nor participate in future Events without clearance from INDYCAR.

17.3. Biomedical Research and Device Testing Policy

17.3.1. All Members must comply with INDYCAR's Biomedical Research and Device Testing Policy available from the INDYCAR office.

17.4. Safety Inspections

17.4.1. INDYCAR may inspect any equipment prior to each Event and re-inspect equipment at any time including, without limitation, before, during or after each Event. An Entrant may be required to replace without limitation seats, seat belts, helmets, headrests, head restraint systems and other equipment at any time, as determined by INDYCAR.

17.4.2. Personal Safety Equipment

17.4.2.1. Drivers - All Drivers must wear:

- a) Fire resistant one-piece uniform conforming to SFI 3.2A/5 or FIA 8856-2000 specifications;
- b) Fire resistant socks;
- c) Fire resistant shoes;
- d) Fire resistant gloves;
- e) Nomex underwear long sleeve tops and full-length bottoms;
- f) Fire resistant head sock.
- **17.4.2.2.** All removable dental appliances, chewing gum, rings, watches and other jewelry and comparable items should be removed before a Driver participates in any on-Track Event.
- **17.4.2.3.** Crew Members, Other Competitors and Officials Except as provided all Competitors and Officials on the trackside of Pit Lane must adhere to the following dress code: This Rule does not apply to individuals in the pit area who are not Competitors or Officials or to any individuals in the garage area or non-restricted areas.
 - a) Long pants;
 - b) Closed-toe shoes; and
 - c) Shirts with sleeves (no tank tops).
- **17.4.2.4.** INDYCAR and/or an Event Promoter may have additional or different requirements for a particular Event.
- **17.4.2.5.** Helmets All Drivers must wear safety helmets designed specifically for automobile racing that meet or exceed the FIA 8860-2010 or FIA 8860-2018 specification. Helmets must be spherical in shape and be fitted with a face shield that has an INDYCAR-approved positive latching mechanism designed to keep the shield down in the event of a crash. Aerodynamic devices must not protrude more than 0.250 inches from the surface outside of the shaded area shown below. Inside the shaded area, a 0.500-inch-high device is allowed. Flat back designs are not permitted. All helmets must be fitted with the INDYCAR approved removal system.



- **17.4.2.5.1.** The visor shall be fitted with a reinforcement panel in accordance with the 2011 F1 VISOR REINFORCEMENT PANEL FOR FIA 8860 HELMET specification. The original visor, before the reinforcement panel is fitted, shall be certified with the helmet to FIA 8860-2010 or FIA 8860-2018 specification.
- 17.4.2.5.2. All helmets must be inspected and approved by INDYCAR before use and are subject to confiscation and re-inspection by INDYCAR at any time, including, without limitation, before, during or after each Event. INDYCAR shall affix stickers to the helmets signifying approval for use. At any time, including, without limitation before, during or after each Event, INDYCAR may remove the approval stickers and require the helmets to be re-inspected and refurbished by a certified helmet manufacturer before INDYCAR

will consider them for re-approval. The helmet manufacturer must submit to INDYCAR documentation stating the refurbished helmet is approved for use in competition in accordance with the Rules.

- **17.4.2.5.3.** Ear Pieces All Drivers must wear INDYCAR-supplied instrumented earpieces. Earpieces must be presented to INDYCAR for testing before each Event and upon request. Repair and/or replacement of the earpieces may be at the Driver's expense.
- **17.4.2.5.4.** Head Restraint Systems- INDYCAR requires the use of head restraint systems. Head restraint systems must meet or exceed FIA 8858-2010 certifications and be approved by INDYCAR.
- 17.4.3. After a crash, an Entrant must present the following items and any others to INDYCAR, upon request
 - a) Driver's Helmet
 - b) Driver's Head Restraint System
 - c) Driver's Seat
 - d) Chassis Headrest
 - e) Steering Wheel
 - f) Ear Pieces
 - **17.4.3.1.** The Series will inspect the items. Any item that does not successfully complete inspection must be repaired re-certified or replaced by the Entrant at the Entrant's expense prior to use.
 - **17.4.3.2.** INDYCAR may examine any Car involved in a crash and determine if it is suitable for further participation and all Members shall cooperate in the preparation of damage reports, photographs, videotaping and impact recording analysis. INDYCAR will inspect the items. Any item that does not successfully complete inspection must be repaired, re-certified or replaced by the Entrant at the Entrant's cost prior to use.

17.5. Seating/Belts/Hans

- 17.5.1. The following must be adhered to:
 - (a) Each seat must be manufactured for a specific Driver and have a unique identification tag from the seat manufacturer including the date. All seats must be inspected and approved by the Series before use and are subject to re-inspection at any time.
 - (b) The Driver's seat must conform to the Drivers anatomy and be constructed of a Series approved material that will permit support and energy attenuation both laterally and rearward. The material must fill around as much of the Driver as possible.
 - (c) When the Driver is seated, there must be a smooth transition of energy attenuating material between the top of the seat and the headrest. There must be no projections that could provide a fulcrum between the Driver's head and neck.
 - (d) An approved seat belt with a quick-release mechanism must be used. Both the fastening design and condition of the belt is subject to inspection by INDYCAR. The life of the belts shall not exceed five (5) years from the expiration date stamped by the Manufacturer. All belts must conform to the following specifications. 3 inch SFI 16.1, 2 inch SFI 16.5, FAI 8858/98 or equivalent as approved by the Series.

- (e) Seat belts must be worn in such a manner that they are tight and pass around the pelvis at a point below the anterior superior iliac spine.
- (f) Seat belts must not pass over the sides of the seat. They must pass through the seat at the bottom on each side thereby wrapping and holding the pelvis over the greatest possible area.
- (g) 6-point (crotch) belts must be connected to the main belt quick-release mechanism and securely attached to the chassis. Two (2) over the shoulder straps must be used. Hans specific double shoulder belts are permitted.
- (h) Seat belts which have been used in a crash in excess of fifty (50) G's must be replaced.
- **17.5.2.** Mounting of all belts must use the manufacturer supplied mount and use the supplied mounting positions unless otherwise approved by INDYCAR.

17.6. Headrests

17.6.1. The following must be adhered to:

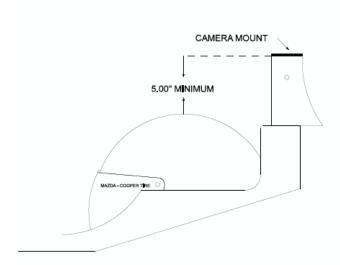
- (a) Headrests must be used as supplied and may not be painted, filled or finished with any products except for decal material.
- (b) All headrests must be inspected and approved by INDYCAR before use and will be subject to confiscation and re-inspection by Officials at any time. INDYCAR shall affix stickers to the headrests signifying approval for use. At any time Officials may remove the approval stickers and require the headrests to be reinspected and/or refurbished by Dallara before the Series may consider them for re-approval.
- (c) Headrests must be attached by the chassis Manufacturer supplied mechanism. The headrest must be in place during the technical inspection process and during all on-track activity.
- (d) Additional side padding must not exceed the height of the standard headrest as viewed from the side profile. Rear padding must not exceed the height or width of the headrest as viewed from the front. All padding must be contained in the original headrest dimensions as looked at in plain view.
- (e) Additional headrest padding if used must consist of separate pieces; left side, right side and/or rear. The side pieces are restricted to 15.00 inches in overall length and must have a minimum 3.00 inch leading edge taper from the front edge when viewed from the top (plan view).
- (f) Additional padding must be removable independent of each other and without the use of tools. Al padding must be manufactured completely of foam although each piece may have a single layer Kevlar backing to assist in the mounting provided that it remains flexible and does not interfere with the original function of the as delivered headrest.
- (g) Any covering used on the additional padding must remain flexible and be approved by the Series. Additional padding may not be taped along any surface. All additional pads and coverings must be inspected and approved by the Series before use.

17.7. Cockpit

- **17.7.1.** Cockpit regulations are intended for the best interests of the Driver's safety, comfort and posture. These must be adhered to in the fullest.
- **17.7.2.** Cars must have fitted all supplied cockpit panels and EPP foam panels in the foot-box, leg and seat area. No modifications can be made without prior approval from the Series.

17.8. Roll Hoop

17.8.1. The Drivers helmet must be a minimum of 5.000 inches below the chassis mounting face of the roll hoop camera (see illustration below).



18. Glossary

- ACCUS-FIA The Automobile Competition Committee of the United States is the ASN of FIA for the United States. ACCUS is comprised of the six (6) major motorsports sanctioning organizations (known by or within the FIA as "member clubs"): IMSA, INDYCAR, NASCAR, NHRA, SCCA and USAC. In accordance with the FIA statutes and the international sporting code, ACCUS-FIA has delegated its sporting power to the member clubs, except for FIA World Championship events.
- Andersen Promotions LLC Serves as the organizer of the Series and it's Events.
- **Approved Supplier** A supplier (including Manufacturers) of components that has been approved by Andersen Promotions, in its sole and absolute discretion.
- ASN The National Sporting Authority of the FIA is a national automobile club or other national body recognized by the FIA as sole holder of sporting power in a country, except that in accordance with the FIA statutes and the international sporting code, an ASN may delegate some or all of its sporting power to its member clubs. An ASN is the liaison between its member clubs, other ASNs and the FIA. An ASN prepares listings on the international calendar, issues international motorsport licenses to its citizens and foreign citizens living in the country, issues authorizations for foreign participation and certifies and maintains domestic and international records.
- Associate The firm(s), corporation(s) or other business association(s) named on an Associate's membership
 application approved by INDYCAR, remaining in good standing and uniquely identified by a tax identification
 number. Associate includes Associate and Associate's officers, directors, members, owners, successors, assigns,
 agents, employees, representatives and affiliates. Associate includes Manufacturers. Associate is responsible for
 Associate Group.
- **Blend Line** The time line that decides the sequential order of Cars on Track relative to Cars exiting Pit Lane under a Yellow Condition.
- **Business Day** Any day other than a Saturday, Sunday or other day on which commercial banks are authorized to close under the laws of, or are in fact closed in, the state of Indiana.
- Car A four (4) wheel automotive vehicle consisting of the combination of Aero Kit, Chassis, Engine, gearbox and Tires. The vehicle must be supplied by Manufacturers or Approved Suppliers, approved by INDYCAR and conform to the specifications, spirit and illustrations in and intent of, the Rules.
- **Competitor** A Driver, Entrant, crew member, Manufacturer or any other individual or entity who is a Member and participates competitively in an Event.
- **Dallara Dallara, LLC** The approved chassis manufacturer. Any reference in the Rules to "Dallara" is referring to Dallara, LLC.
- Day The twenty-four (24) hour period within a single calendar day.
- **Discretion** The exercise by INDYCAR of judgment in making a determination as to the application, construction and interpretation of the Rules; and is required because of the need for decisions that depend upon immediacy without reflection and/or that are often subjective.
- **Driver** The individual named on a Driver's License and membership application approved by INDYCAR and issued by ACCUS-FIA (or another ASN, if applicable) and remaining in good standing. Driver is responsible for Driver Group.
- **Engine** An engine supplied the engine Manufacturers or their designees, and approved by Andersen Promotions.
- Entrant The firm(s), corporation, or other business association(s) named on an Entrant's License and membership application approved by INDYCAR and issued by ACCUS-FIA, remaining in good standing and

uniquely identified by a tax identification number and Car number. Entrant includes Entrant and Entrant's officers, directors, members, owners, successors, assigns, agents, employees, representatives and affiliates. Entrant is responsible for Entrant Group.

- Entrant Group Entrant Participant, Entrant Guest and each third-party Entrant represents. "Entrant Participant" is defined as any individual who is a Participant by reason of his or her relationship to Entrant. "Entrant Guest" is defined as any individual whose presence at a Track is at the invitation of the Entrant.
- Entry An Entrant's Car and Driver combination on an Entry form displaying the Entrant's Car number and approved by Andersen Promotions for participation in a designated on-Track Event.
- **Event** Any racing, promotional, entertainment or other activities in conjunction with and/or organized by Andersen Promotions or INDYCAR.
- Event Agreement The agreement in effect between INDYCAR and an Event Promoter regarding the conduct
 of a Race.
- Event Promoter The firm(s), corporation(s) or other business association(s) named on an Event Agreement with INDYCAR and responsible for designated operations of a Race. Event Promoter includes Event Promoter and Event Promoter's officers, directors, members, owners, successors, assigns, agents, employees, representatives and affiliates.
- FIA The Federation Internationale de l'Automobile is the sole international sporting authority entitled to make and enforce regulations based on the fundamental principles of safety and sporting fairness, for the encouragement and control of automobile competitions and records and to organize FIA international championships.
- **Grid** The physical location where the Cars are positioned in Starting Lineup order prior to the start of a Race.
- Guaranteed Attempt A Car's opportunity to present itself for the Qualifications process.
- INDYCAR INDYCAR, LLC The member club of ACCUS; the owner and operator of the Verizon IndyCar Series; the owner of the Indy Lights Series; and the sanctioning body for the IndyCar Series, Indy Lights Series, Indy Pro 2000 Championship and USF2000 Championship. INDYCAR includes INDYCAR and INDYCAR's officials, officers, directors, members, owners, successors, assigns, agents, employees, representative and affiliates. "INDYCAR" and "Leaders Circle" are registered trademarks with the United States Patent and Trademark Office and cannot be used without authority from INDYCAR or Brickyard Trademarks, Inc. Any reference in the Rules to "INDYCAR" is referring to INDYCAR, LLC.
- **INDYCAR Group** INDYCAR, FIA, ACCUS-FIA, Event Promoters, other Members and the officers, directors, employees, agents, affiliates, representatives, owners, successors and assigns of each of them.
- IndyCar Series The Verizon IndyCar Series owned, operated and sanctioned by INDYCAR. INDYCAR includes
 INDYCAR and INDYCAR's officials, officers, directors, members, owners, successors, assigns, agents, employees,
 representative and affiliates. IndyCar Series (and design) are registered trademarks of Brickyard Trademarks, Inc.,
 used with permission. Verizon is a registered trademark of Verizon Trademark Services LLC. Any reference in the
 Rules to the IndyCar Series may include INDYCAR and any reference to INDYCAR may include a reference to the
 IndyCar Series, if the context so requires.
- **License** An authorization approved by INDYCAR and issued by ACCUS-FIA (or another ASN, if applicable) to an individual or entity to participate in a Race as a Driver, Entrant or Official.
- Manufacturer The firm(s), corporation(s) or other business association(s) named on an Associate's membership application approved by Andersen Promotions, remaining in good standing and uniquely identified by a tax identification number. Manufacturer includes the approved chassis, Tire, Engine and gearbox Manufacturers and their officers, directors, members, owners, successors, assigns, agents, employees, representatives and affiliates.

- **Member** An individual, firm, corporation or other business association entity named on an annual membership application, approved by INDYCAR and remaining in good standing. Without limitation, each Competitor and Official must be a Member. Member is responsible for Member Group.
- **Member Group** Member Guest and each third-party Member represents. The term "Member Guest" shall mean and include any individual whose presence at an Event is at my invitation.
- Non-Qualified Car A Car not successfully completing Qualifications.
- Officials Any and all authorized officers, employees, agents, representatives and subcontractors to INDYCAR or Andersen Promotions.
- Open Test These are the Tests organized by Andersen Promotions to which all Entrants are invited to participate.
- Pace Car An official Pace Car approved by INDYCAR, driven by an authorized individual and used during on-Track activities.
- Partial Season Entrant An Entrant in one or more, but not all, of the Races during the Racing Season.
- Participant Any individual or entity along with their officers, directors, members, owners, successors, assigns, agents, employees, representatives and affiliates that are in any way associated or connected with Track premises, Testing facilities or other INDYCAR-approved locations or Event including, without limitation, facility owners, Event Promoters, municipalities, racing associations, sanctioning organizations, series organizers, Officials, workers, volunteers, Entrants, Car owners, Drivers, pit crew members, safety crew members, medical/rescue personnel, sponsors, Manufacturers, suppliers, designers, advertisers, inspectors, construction contractors, engineers, architects, insurers and all individuals in a restricted area.
- **Pit Box** The area of the Pit Lane specified to an Entrant for service of a Car which may include refueling, tire changes, and repairs.
- **Pit Commitment Line** The time line that decides if a Car is within the Pit Lane boundaries at the time of a Yellow Condition.
- **Pit Lane** The part of the Track that leads from the Racing Surface to the Pit Boxes or from the Pit Boxes back to the Racing Surface. It has a specified maximum speed and starting and ending points as defined by painted lines, cones and/or other visible markings.
- **Pit Out Commit Line** The time line that decides if a Car has followed proper procedures exiting Pit Lane. At some Tracks, the Pit Out Commit Line is the same line as the Blend Line.
- Provisional Starting Car An Entrant who is granted permission to start a Race based on previous championships, current points or other means as determined by INDYCAR or the Indianapolis Motor Speedway as applicable.
- Qualifications The process used to determine the eligibility and starting position of each Car desiring to participate in a Race by ranking the Car on the basis of its performance in Qualifications.
- Qualified Car A Car that successfully completes Qualifications.
- Race The race(s) occurring at an Event for which points are awarded.
- Race Procedure Is the manner in which an Event is conducted. It includes, but is not limited to, At Track
 Procedures described in <u>Rule 7</u> (On Track Conditions, Flag Codes and Track condition lights, Timing and Scoring,
 Pit Boxes, Race Start, Race Restart, Mechanical Conditions, Performance Standards, Pit Procedures, Pit Safety
 Violations) and Qualifications described in Rule 8.
- Racing Season All Open Tests and Race Events beginning with the first Race or first Open Test, whichever occurs earlier, and continuing through the final Race.

- Racing Surface The area designated by Officials as eligible for use during competition. It may be formed by boundaries such as a wall or a line. It does not include grass or runoff areas.
- **Registration** The unique identity number assigned to a specific Car by Dallara.
- **Restarting Lineup** The order of Cars on Track for the restart of a Race.
- Road Course Event A purpose-built permanent Track where turns are left and right-handed.
- Rookie Driver A Driver is a Rookie Driver in the Indy Lights Series if the Driver has i) not participated in more than four (4) Indy Lights Series Races in a Racing Season or ii) participated in less than eight (8) Indy Lights Series Races in his/her career.
- Rules This Series Rule Book, including without limitation, Engine Regulations, supplementary guidelines,
 Substance Abuse Policy, Social Media Policy, Style Guide, Penalty Guidelines and bulletins issued by Officials,
 whether containing Rules applicable only to a particular Event(s) or containing Rules of general applicability. The
 most current version is available at indypro2000.com. usf2000.com. Notwithstanding the
 foregoing, Rules may be issued verbally in mandatory meetings such as Drivers meetings held during Events.
- Series Indy Lights Series presented by Cooper Tire
- Sporting Regulations Sporting Regulations are the Race Procedures of the Rules including, but are not limited to, on-Track competition such as blocking, contact, restart violations, Pit Lane infractions, Qualifying interference, local yellow performance reduction expectation, Track boundary infraction, packing up under Yellow Condition or failing to pace; however, it does not include technical, operations or off Track competition such as Engine Regulations, Aero Kit Regulations, and Substance Abuse Policy violations. The Race Stewards shall determine violations of the Sporting Regulations of the Rules during Qualifying and Races.
- **Start/Finish Line** The areas of a Track specified by INDYCAR to decide the start, lap completion, and/or completion of an on Track activity. If applicable, it may extend across and include Pit Lane.
- Starting Lineup The order of Cars on Track for the start of a Race.
- **Steward** An individual designated by Andersen Promotions to have specific authority to assist with the application, construction, interpretation and enforcement of the Rules.
- Street Course Event A purpose-built temporary Track where turns are left and right-handed.
- **Team** One or more Entrants under common ownership and/or control as determined by INDYCAR or Andersen Promotions
- Test Any period during which a Member conducts any Testing.
- Testing The use of the approved chassis, Engine, gearbox and Tires, separately or in any combination during a period other than practice, Qualifying or a Race.
- Tire(s) Tires supplied by Cooper Tire and Rubber, approved by Andersen Promotions, and governed by these Rules.
- Track The area including without limitation the Racing Surface, pit entrance lanes, Pit Lane and pit exit lanes located between the outer and innermost retaining walls.
- Track Condition The status under which on-Track activity is conducted.
- Use This is defined as being propelled under power generated by the Engine.